RULE 33.1(h) CERTIFICATE OF COMPLIANCE

No. 19-277

HSBC Holdings PLC, et al.,

Petitioners,

V.

Irving H. Picard and Securities Investor Protection Corporation,

Respondents.

As required by Supreme Court Rule 33.1(h), I, Kenneth J. Caputo, counsel for Respondent Securities Investor Protection Corporation and a member of the Bar of this Court, certify that the Brief in Opposition to the Petition for a Writ of Certiorari contains 3,942 words, excluding the parts of the brief that are exempted by Rule 33.1(d).

I declare under penalty of perjury that the foregoing is true and correct.

Executed on October 29, 2019.

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KENNETH J. CAPUTO

Securities Investor Protection

Corporation

1667 K Street, NW, Suite 1000

Washington, DC 20006