

2311 Douglas Street  
Omaha, Nebraska 68102-1214



1-800-225-6964  
(402) 342-2831  
Fax: (402) 342-4850

E-Mail Address:  
contact@cocklelegalbriefs.com

Web Site  
www.cocklelegalbriefs.com

No. \_\_\_\_\_

KEVIN LAMPKIN; STEPHEN MILLER, individually  
and on behalf of all others similarly situated;  
JOE BROWN; FRANK GITTESS; TERRY NELSON;  
DIANNE SWIBER; ROBERT FERRELL,  
Petitioners,

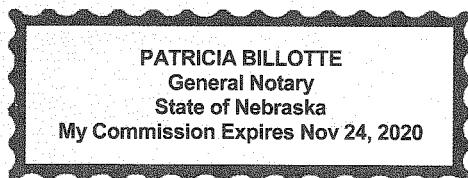
v.

UBS FINANCIAL SERVICES, INCORPORATED;  
formerly known as UBS Painewebber, Incorporated;  
UBS SECURITIES, L.L.C., formerly known  
as UBS Warburg, L.L.C.,  
Respondents.

#### CERTIFICATE OF COMPLIANCE

As required by Supreme Court Rule 33.1(h), I certify that the PETITION FOR WRIT OF CERTIORARI and APPENDIX VOLS I-II in the above entitled case complies with the typeface requirement of Supreme Court Rule 33.1(b), being prepared in New Century Schoolbook 12 point for the text and 10 point for the footnotes, and this brief contains 5261 words, excluding the parts that are exempted by Supreme Court Rule 33.1(d), as needed.

Subscribed and sworn to before me this 22nd day of August, 2019.  
I am duly authorized under the laws of the State of Nebraska to administer oaths.



Patricia C. Billotte Andrew H. Cockle  
Notary Public Affiant