No. 19-

In the

# Supreme Court of the United States

### KANEKA CORPORATION,

Petitioner,

v.

### XIAMEN KINGDOMWAY GROUP COMPANY, PACIFIC RAINBOW INTERNATIONAL INC.,

Respondent.

ON PETITION FOR WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FEDERAL CIRCUIT

# SUPPLEMENTAL APPENDIX

KEITH D. NOWAK Counsel of Record WILLIAM F. SONDERICKER GERALD W. GRIFFIN CARTER LEDYARD & MILBURN LLP Two Wall Street New York, New York 10005 (212) 732-3200 nowak@clm.com

Counsel for Petitioner



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# The Director of the United States Patent and Trademark Office

Has received an application for a patent for a new and useful invention. The title and description of the invention are enclosed. The requirements of law have been complied with, and it has been determined that a patent on the invention shall be granted under the law.

Therefore, this

# **United States Patent**

Grants to the person(s) having title to this patent the right to exclude others from making, using, offering for sale, or selling the invention throughout the United States of America or importing the invention into the United States of America, and if the invention is a process, of the right to exclude others from using, offering for sale or selling throughout the United States of America, or importing into the United States of America, products made by that process, for the term set forth in 35 U.S.C. 154(a)(2)or (c)(1), subject to the payment of maintenance fees as provided by 35 U.S.C. 41(b). See the Maintenance Fee Notice on the inside of the cover.

Jand J. Kgppos

Director of the United States Patent and Trademark Office



### (12) United States Patent Yajima et al.

# (10) Patent No.: US 7,910,340 B2 (45) Date of Patent: Mar. 22, 2011

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- (54) PROCESSES FOR PRODUCING COENZYME Q10
- (75) Inventors: Kazuyoshi Yajima, Hyogo (JP); Takahisa Kato, Hyogo (JP); Akihisa Kanda, Osaka (JP); Shiro Kitamura, Hyogo (JP); Yasuyoshi Ueda, Hyogo (JP)
- (73) Assignee: Kancka Corporation, Osaka-shi (JP)
- (\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 588 days.
- (21) Appl. No.: 11/981.181
- (22) Filed: Oct. 31, 2007

#### (65) Prior Publication Data

US 2008/0171373 A1 Jul. 17, 2008

#### Related U.S. Application Data

(62) Division of application No. 10/500,249, filed as application No. PCT/JP02/13766 on Dec. 27, 2002, now abandoned.

#### (30) Foreign Application Priority Data

Dec. 27, 2001 (JP) ...... 2001-398545

(51) Int. Cl. C12P 1/00 (2006.01)

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- (52)
   U.S. Cl.
   435/133; 435/41

   (58)
   Field of Classification Search
   None
- See application file for complete search history.

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Primary Examiner - Vera Afremova

(74) Attorney, Agent, or Firm --- Westerman, Hattori, Daniels & Adrian, LLP

#### (57) ABSTRACT

The present invention relates to a process for producing reduced coenzyme  $Q_{10}$  which comprises obtaining microbial cells containing reduced coenzyme  $Q_{10}$  at a ratio of not less than 70 mole % among the entire coenzymes  $Q_{10}$ , optionally disrupting the cells and recovering thus-produced reduced coenzyme  $Q_{10}$ . The present invention also relates to a process either recovering oxidized coenzyme  $Q_{10}$  which comprises either recovering oxidized coenzyme  $Q_{10}$  which comprises either recovering reduced coenzyme  $Q_{10}$  after oxidizing the above-mentioned microbial cells or disrupted product thereof, or recovering reduced coenzyme  $Q_{10}$  from the above-mentioned microbial cells or disrupted product thereof to oxidize thus-obtained reduced coenzyme  $Q_{10}$  thereafter. According to the processes of the present invention, reduced coenzyme  $Q_{10}$  and oxidized coenzyme  $Q_{10}$  can be produced simply on the industrial scale.

#### 45 Claims, 1 Drawing Sheet

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**U.S. Patent** 

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#### 1 PROCESSES FOR PRODUCING COENZYME Q10

#### RELATED APPLICATIONS

This application si a divisional of Ser. No. 10/500,249, filed on Nov. 3, 2004 and now abandoned, which is a 371 of PCT/JP02/13766, filed on Dec. 27, 2002, which claims benclits to Japanese Application No. 2001-398545, filed on Dec. 27, 2001.

#### TECHNICAL FIELD

The present invention relates to

a process for producing the reduced coenzyme  $Q_{10}$  represented by the following formula (1):



and a process for producing the oxidized coenzyme  $Q_{10}$  represented by the following formula (11):



More particularly, the present invention relates to a process for producing reduced coenzyme  $Q_{10}$ 

which comprises culturing reduced coenzyme  $Q_{10}$ -producing microorganisms to obtain microbial cells containing reduced coenzyme  $Q_{10}$  at a ratio of not less than 70 mole % among the entire coenzymes  $Q_{10}$ .

optionally disrupting the microbial cells and recovering thus-produced reduced coenzyme  $Q_{10}$ .

The present invention also relates to a process for producing oxidized coenzyme  $Q_{10}$  which comprises either recovering oxidized coenzyme  $Q_{10}$  after oxidizing the above-mentioned microbial cells or disrupted product thereof, or recovering reduced coenzyme  $Q_{10}$  from the above-mentioned 55 microbial cells or disrupted product thereof to oxidize thusobtained reduced coenzyme  $Q_{10}$  thereafter.

#### BACKGROUND ART

The reduced coenzyme  $Q_{10}$  (I) and the oxidized coenzyme  $Q_{10}$  (II) are mitochondrial electron transport system-constituting factors in cells of a living body of human and deal with ATP production by working as electron carriers in oxidative phosphorization reactions. 65

Conventionally, oxidized coenzyme Q<sub>10</sub> has been widely used for supplementary nutrient foods and cosmetic products 2

in addition to pharmaccutical products as a pharmaccutically and physiologically effective substance for a variety of diseases.

On the other hand, reduced coenzyme  $Q_{10}$  has not so much drawn attention so far: however, in these years, there has been reported that reduced coenzyme  $Q_{10}$  is more effective in various applications than oxidized coenzyme  $Q_{10}$ .

For example, Japanese Kokai Publication Hei-10-330251 discloses an antihypercholesterolemia agent having excellent 10 cholesterol reducing function. an antihyperlipemia agent, and an agent for curing and preventing arteriosclerosis which contain reduced coenzyme  $Q_{10}$  as an active ingredient. In addition, Japanese Kokai Publication Hei-10-109933 discloses a pharmaceutical composition excellent in oral absorb-15 ability comprising coenzyme  $Q_{10}$  including reduced coenzyme  $Q_{10}$  as an active ingredient.

Furthermore, reduced coenzyme  $Q_{10}$  is effective as an antioxidant and a radical scavenger. R. Stocker, et al. have reported that reduced coenzyme  $Q_{10}$  prevented peroxidation

20 of human LDL more efficiently than α-tocopherol, lycopene and β-carotene (Proceedings of the National Academy of Science of the United States of America, vol. 88, pp. 1646-1650, 1991).

It has been known that oxidized coenzyme  $Q_{10}$  and reduced 25 coenzyme  $Q_{10}$  are in a certain type of equilibrium in a living body and that oxidized coenzyme  $Q_{10}$ /reduced coenzyme  $Q_{10}$ absorbed in the living body are mutually reduced/oxidized. Reduced coenzyme  $Q_{10}$  is supposedly produced by a chemical synthesis method, similarly to the process for pro-

30 ducing oxidized coenzyme Q<sub>10</sub>. But the synthesis process is supposed to be complicated, risky and costly. Moreover, in the case of chemical synthesis methods, it will be necessary to minimize the subgeneration and contamination of a (Z)-isomer, which is suspiciously unsafe (Biomedical and Clinical

 Aspects of Cocnzyme Q, vol. 3, pp. 19-30, 1981). Europe Pharmacopocia regulates that a content of (Z)-isomer in oxidized coenzyme Q<sub>10</sub> must be not more than 0.1%.

As another process for producing reduced coenzyme  $Q_{10}$ , it can be supposed a method of utilizing microbial cells, that

40 is, a method for separating and recovering reduced coenzyme Q<sub>10</sub> from reduced coenzyme Q<sub>10</sub> producing microorganisms. However, the reduced coenzyme Q<sub>10</sub> produced by the microhial cells of the above-mentioned microorganisms contains a large amount of oxidized coenzyme Q<sub>10</sub> and the separation
 45 and recovery of reduced coenzyme Q<sub>10</sub> by a conventional method results in high cost.

The following are documents describing the presence of reduced coenzyme  $Q_{10}$  in microbial cells and there have been known the following examples of bacteria.

0 (1) An example describing that at lowest 5 to 10% by weight and at highest 30 to 60% by weight of reduced coenzyme  $Q_{10}$ are present among the entire coenzymes  $Q_{10}$  in culture cells of photosynthesis bacteria (Japanese Kokai Publication Sho-57-70834).

55 (2) An example describing that the genus *Pseudomonas* is subjected to thermal extraction by an organic solvent in the presence of sodium hydroxide and pyrogallol, and the resultant is treated with 5% sodium hydrosulfite solution, and further dehydrated and concentrated to collect an acetonesoluble portion, and an oil containing reduced coenzyme  $Q_{10}$ is obtained (Japanese Kokai Publication Sho-60-75294).

Both of the above (1) and (2) aim to convert a mixture of the obtained reduced coenzyme  $Q_{10}$  and oxidized coenzyme  $Q_{10}$  or the obtained reduced coenzyme  $Q_{10}$  into oxidized coenzyme  $Q_{10}$  by further oxidation. Thus, reduced coenzyme  $Q_{10}$  is only described as an intermediate substance in producing oxidized coenzyme  $Q_{10}$ .

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In the above (1), photosynthesis bacteria are used, the culture of which is complicated. Furthermore, in the microbial cells of the above-mentioned microorganisms, when the production of reduced coenzyme  $Q_{10}$  is aimed at, it cannot be said that the ratio of reduced coenzyme Q10 among the entire 5 coenzymes Q10 is sufficient.

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The above (2) comprises a process of converting oxidized coenzyme Q10 contained in a hexane phase into reduced coenzyme Q10 by sodium hydrosulfite, a reducing agent (see Example 3 in Japanese Kokai Publication Sho-60-75294). 10 Thus, the ratio of reduced coenzyme Q10 among the entire coenzymes Q10 in the microbial cells is not clear.

Furthermore, in both of the above (1) and (2), the production amount of coenzymes Q in culture are not described.

As described above, microbial cells containing reduced coenzyme Q10 at high ratio have not been reported yet. Still less, it has not been known a fermentation production of reduced coenzyme Q10 on the industrial scale, that is, a method comprising culturing microorganisms to obtain 20 microbial cells containing reduced coenzyme Q10 at high ratio among the entire coenzymes Q10, and recovering reduced coenzyme Q1 to obtain high-purity reduced coenzyme Q10.

large quantity of coenzyme Q10 containing reduced coenzyme Q10 at high ratio by culturing microorganisms is found, it can be a highly useful method for producing reduced coenzyme Q10.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide a process for producing reduced coenzyme Q10 safely and efficiently on the industrial scale by culturing reduced coenzyme Q10-pro-35 ducing microorganisms for obtaining microbial cells containing reduced coenzyme Qin at high ratio and suitably recovering reduced coenzyme Q10 from the microbial cells.

It is another object of the present invention to provide a 40 process for producing oxidized coenzyme Q10 in simple processes by culturing reduced coenzyme Q10-producing microorganisms for obtaining microbial cells containing reduced coenzyme Q10 at high ratio, and oxidizing the reduced coenzyme Q10 obtained from the microbial cells as an intermedi- 45 ate substance in producing oxidized coenzyme Q10.

That is, the present invention relates to

a process for producing the reduced coenzyme Q10 represented by the following formula (1):



which comprises culturing reduced coenzyme Q10-producing microorganisms in a culture medium containing a 60 carbon source, a nitrogen source, a phosphorus source and a micronutrient to obtain microbial cells containing reduced coenzyme Q10 at a ratio of not less than 70 mole % among the entire coenzymes Q10,

optionally disrupting the microbial cells and

extracting thus-produced reduced coenzyme Q10 by an organic solvent.

Furthermore, the present invention also relates to a process for producing the oxidized coenzyme  $Q_{i\sigma}$  represented by the following formula (II):

(II)



which comprises culturing reduced coenzyme Q10-producing microorganisms in a culture medium containing a carbon source, a nitrogen source, a phosphorus source and a micronutrient to obtain microbial cells containing reduced coenzyme Q10 at a ratio of not less than 70 mole % among the entire coenzymes Q10,

optionally disrupting the microbial cells; and

either oxidizing thus-produced reduced coenzyme Q10 to oxidized coenzyme Q<sub>10</sub> and then extracting the resultant by Under such circumstances, if a method for obtaining a 25 an organic solvent, or extracting thus produced reduced coenzyme Q10 by an organic solvent. purifying optionally and oxidizing the resultant to oxidized coenzyme Q10.

According to the processes of the present invention, reduced coenzyme Q10 can be produced cheaply on the indus-30 trial scale by considerably simple steps comprising culturing microorganisms and recovering reduced coenzyme Q10. In addition, oxidized coenzyme  $Q_{10}$  can also be produced by simple processes. Moreover, these coenzymes Q10 produced by microorganisms basically do not contain (Z)-isomers thereof, and (all-E) isomers thereof can be obtained, which are same as those contained in meat, fish, etc.

#### DETAILED DESCRIPTION OF THE INVENTION

In the present invention, at first, reduced coenzyme Q10producing microorganisms are cultured to obtain microbial cells containing reduced coenzyme Q10 at a ratio of not less than 70 mole %, preferably not less than 75 mole %, among the entire coenzymes Q10 (fermentation).

The microbial cells containing reduced coenzyme Q10 at such high ratio among the entire coenzymes  $Q_{10}$  can be basically obtained by culturing microorganisms capable of producing reduced coenzyme Q10 at a ratio of not less than 70 mole %, preferably not less than 75 mole %, among the entire (1) 50 coenzymes Q10.

How much ratio the microorganisms can produce reduced coenzyine  $Q_{10}$  among the entire coenzymes  $Q_{10}$  can be evaluated, for example, by a method comprising culturing the microorganisms with shaking (amplitude: 2 cm, 310 recipro-

55 cation/min) at 25° C. for 72 hours in 10 mL of a culture medium [(glucose: 20 g, peptone: 5 g, yeast extract: 3 g, malt extract: 3 g)/L, pH: 6.0] using a test tube (inner diameter: 21 mm, entire length: 200 mm).

Although the preferable culture conditions for the fermentation production on the industrial scale will be described later, the above-mentioned culture condition is one method for standardizing the ratio of reduced coenzyme Q10 produced, which microorganisms have as its ability, so as to reflect the ratio within the range without having significant 65 inaccuracies.

Under the above-mentioned culture condition, it is preferable to use microbial cells wherein a content of reduced

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coenzyme  $Q_{10}$  is at a ratio of not less than 70 mole %, preferably not less than 75 mole %, among the entire coenzymes  $Q_{10}$ , for the present invention. It is still more preferable to use microorganisms having a productivity of reduced coenzyme  $Q_{10}$  per unit culture medium of generally not less than 1 µg/mL, preferably not less than 2 µg/mL under the abovementioned culture condition.

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The above-mentioned content of reduced coenzyme  $Q_{10}$ and ratio of reduced coenzyme  $Q_{10}$  among the entire coenzymes  $Q_{10}$  can be confirmed by physically disrupting the 10 microbial cells, extracting coenzyme  $Q_{10}$  from thus-obtained cells by an organic solvent and performing HPLC analysis. Specifically, the measurement can be carried out according to the following procedures:

(1) The broth of microorganism is optionally concentrated, 10 15 parts by volume of the broth are displaced to a screw cap test tube (inner diameter: 16.5 mm, entire length: 130 mm), and 10 parts by volume of glass beads are added (425 to 600 µm, manufactured by SIGMA Co.);

(2) 3 parts by volume of isopropanol and 18.5 parts by volume 20 of n-hexane relative to 10 parts by volume of the broth are added under a nitrogen atmosphere;

(3) microbial cell disruption and extraction are carried out by vigorously shaking of the mixture for 3 minutes under a nitrogen atmosphere; and

(4) the obtained hydrophobic organic solvent phase (n-hexane phase) is evaporated (bath temperature: 40° C.) under reduced pressure to analyze the resultant by HPLC.

Column: YMC-Pack 4.6×250 mm (manufactured by YMC. Co., Ltd.)

Mobile phase: methanol/n-hexane=85/15

Flow rate: 1 ml/min,

Detection: UV 275 nm

Retention time: reduced coenzyme Q10 13.5 min

oxidized coenzyme Q10 22.0 min

The above-mentioned measurement method is provided for the obtained result to reflect the reduced coenzyme  $Q_{10}$ content and the ratio of reduced coenzyme  $Q_{10}$  among the entire coenzymes  $Q_{10}$  as accurate as possible, and to standardize the content and the ratio of reduced coenzyme  $Q_{10}$ , which 40 can be guaranteed at the minimum. This method has been demonstrated, by several experimentations performed by the present inventors, easy and suitable to be carried out.

As the above-mentioned reduced coenzyme Q10-producing microorganisms to be used in the present invention, bac- 45 teria, yeast and fungi may be used without any specific limitation. As specific examples of the above-mentioned microorganisms, there may be mentioned, for example, microorganisms of the genus Agrobacterium, the genus Aspergillus, the genus Acctobacter, the genus Aminobacter, 50 the genus Agromonas, the genus Acidiphilium, the genus Bulleromyces, the genus Bullera, the genus Brevundimonas, the genus Cryptococcus, the genus Chionosphaera, the genus Candida, the genus Cerinosterus, the genus Exisophiala, the genus Exobasidium, the genus Fellomyces, the genus Filoba- 55 sidiclla, the genus Filobasidium, the genus Geotrichum. the genus Graphiola, the genus Gluconobacter, the genus Kockovaella, the genus Kurtzmanomyces, the genus Lalaria, the genus Leucosporidium, the genus Legionella, the genus Methylobacterium, the genus Mycoplana, the genus Oospo- 60 ridium, the genus Pseudomonas. the genus Psedozyma, the genus Paracoccus, the genus Petromyces, the genus Rhodolorula, the genus Rhodosporidium, the genus Rhizomonas, the genus Rhodobium, the genus Rhodoplanes, the genus Rhodopseudomonas, the genus Rhodobacter, the genus 65 Sporobolomyces, the genus Sporidioboluis, the genus Saitoella, the genus Schizosaccharomyces, the genus Sphin6

gomonas, the genus Sporotrichum, the genus Sympodiomycopsis, the genus Sterigmatosporidium, the genus Tapharina, the genus Tremella, the genus Trichosporon, the genus Tilletiaria, the genus Tilletia, the genus Tolyposporium, the genus Tilletiopsis. the genus Ustilago. the genus Udeniomyces, the

genus Xanthophilonyces, the genus Vacmoniyces, the genus Xanthophilonyces, the genus Xanthobacter, the genus Paecilonyces, the genus Acremonium, the genus Hyhomonus, and the genus Rhizobium;

In terms of the culture easiness and productivity, bacteria (preferably nonphotosynthetic bacteria) and yeast are preferred. As the bacteria, there may be mentioned, for example, the genus Agrobacterium, the genus Gluconobacter and the like. As the yeast, there may be mentioned, for example, the genus Schizosaccharomyces, the genus Saitoella and the like.

5 As preferable species, there may be mentioned, for example, Agrobacterium tumefacience IFO13263, Agrobacterium radiobacter ATCC4718, Aspergillus clavatus JCM1718, Acetobacter xylinum IFO15237, Aminobacter aganouensis JCM7854, Agromonas oligotrophica JCM1494, 9 Acidiphilium multivorum JCM8867, Bulleromyces albus

IFO1192, Bullera arnieniaca IFO10112, Brevundimonas diminuta ICM2788, Cryptococcus laurentii IFO0609, Chionosphaera apobasidialis CBS7430, Candida curvata ATCC10567, Cerinosterus luteoalbus ICM2923,

25 Exisophiala alcalophila JCM12519, Exobasidium gracile IFO7788, Fellomyces fuzhouensis IFO10374, Filobasidiella neoformans CBS132, Filobasidium capsuloigenum CBS1906, Geotrichum capitatum JCM6258, Graphiola cylindrica IFO6426, Gluconobacter suboxydans IFO3257,

 Kockovaella imperatae JCM7826, Kurtzmanomyces nectairei IFO10118, Lalaria cerasi CBS275.28, Leucosporidium scottii IFO1212, Legionella anisa JCM7573, Methylobacterium extorguens JCM2802, Mycoplana ramosa JCM7822, Oosporidium margaritiferum CBS2531, 35 Pseudomonas denitrificans IAM 12023, Pseudomonas

shuylkilliensis IAM 1092, Psedozyma aphidis CBS517.23. Paracoccus denitrificans JCM6892, Petromyces alliaceus IFO7538, Rhodotorula glutinis IFO1125, Rhodotorula minuta IFO0387, Rhodosporidium diobovatum ATCC1830. Rhizomonas subcrifaciens IFO15212. Rhodobium orients JCM9337. Rhodoplanes elegans ICM9224 Rhodopseudomonas palustris JCM2524, Rhodobacter capsulatus SB1003, Sporobolomyces holsaticus IFO1034, Sporobolomyces pararoseus IFO0471, Sporidiobolus johnsonii IFO1840, Saitoella complicata IFO10748, Schizosaccharomyces pombe IFO0347, Sphingomonas parapaucimobilis IFO15100, Sporotrichum cellulophilium ATCC20493, Sympodiomycopsis puphiopedili JCM8318, Sterigmatosporidium polymorphum IFO10121, Sphingomoadhesiva JCM7370, Tapharina caerulescens 201 CBS351.35, Tremella mesenterica ATCC24438, Trichosporon cutaneum IFO1198, Tilletiaria anomala CBS436.72, Tilletia caries JCM1761, Tolyposporium bullatum JCM2006, Tilletiopsis washintonesis CBS544, Ustilago esculenta IFO9887, Udeniomyces megalosporus JCM5269, Xanthophilomyces dendrorhous IFO10129, Xanthobacter flavus JCM1204, Paecilomyces lilacinus ATCC10114, Acremonium chrysogenum ATCC11550, Hyphomonas hirschiana ATCC33886, Rhizobium meliloti ATCC9930, and the like.

As the reduced coenzyme  $Q_{10}$ -producing microorganisms, not only the wild species of the above-mentioned microorganisms but also microorganisms in which the transcription and translation activities of the genes relevant to the biosynthesis of reduced coenzyme  $Q_{10}$  in the above-mentioned microorganisms, or the enzyme activity of the expressed protein are modified or improved can be used preferably, for example.

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As the means for modifying or improving the transcription and translation activities of the genes or the enzyme activity of the expressed protein, there may be mentioned gene recombination (including gene improvement, amplification and destruction by itself, external gene introduction, and gene improvement and proliferation of thus-introduced external genés) and mutágenesis by mutagens. In particular, the mutagenesis by mutagens is preferred.

The more preferable microorganisms usable for the present invention are microorganisms containing reduced coenzyme 10 nese. molybdenum, sulfuric acid and hydrochloric acid; vita-Qio at a ratio of not less than 70 mole %, preferably not less than 75 mole %, more preferably not less than 80 mole %, still more preferably not less than 85 mole %, and particularly preferably not less than 90 mole %, among the entire coenzymes  $Q_{10}$  in the case where the above-mentioned modified 15 or improved microorganisms, preferably microorganisms mutated by mutagens, are evaluated by the above-mentioned proliferation method and the measurement method. In the fermentation production on the industrial scale, it is preferable to use microorganisms having a productivity of reduced 20 coenzyme Q10 per unit culture medium of not less than 1 µg/mL, preferably not less than 2µg/mL, more preferably not less than 3 µg/mL, still more preferably not less than 5 µg/mL, particularly preferably not less than 10 µg/mL, much more preferably not less than 15 µg/mL, and most preferably not 25 less than 20 µg/mL.

The mutagenesis may be carried out by a single mutagenesis; however, mutagenesis is preferably carried out not less than 2 times. That is because it was found that the productivity of reduced coenzyme Q10 can be improved in the respective 30 mutagenesis steps. It is needless to say that the candidates of the microbial cells to be mutated are, generally, those having a productivity of reduced coenzyme  $Q_{10}$  as high as possible in the case where the evaluation is carried out by the abovementioned proliferation method and measurement method. 35

The mutagenesis can be carried out by using optional and proper mutagens. The term "mutagen" encompasses, in a board definition, not only chemical agents having mutagenesis effects, for example, but also treatments such as UV radiation having mutagenesis effects. As examples of proper 40 mutangens, there may be mentioned ethyl methanesulfonate. UV radiation, N-methyl-N'-nitro-N-nitrosoguanidine, nucleotide base analogues such as bromouracil, and acridines; however, they are not limited to these examples.

According to a conventional mutagenesis technique, suc- 45 cessively to the mutagenesis, a proper selection of microbial cells having high productivity of reduced coenzyme Q10 is carried out. For that, the culture obtained from a single colony should be evaluated, for example, by the above-mentioned proliferation method and measurement method. Since a 50 reduced coenzyme Q10 crystal forms a white solid layer or a colorless liquid phase, a productivity of reduced coenzyme Q10 can be suitably evaluated by the above-mentioned measurement method at the time of selection of the colony,

In the processes of the present invention, high productivity 55 of reduced coenzyme Q<sub>10</sub> in the fermentation production on the industrial scale can be achieved partially by using the microbial cells containing reduced coenzyme Q10 at a ratio of not less than 70 mole % among the entire coenzymes Q10 and, partially, by using the suitable conditions of culture (fermen- 60 tation) for increasing a productivity of reduced coenzyme Q10 per unit culture medium as described below. It is particularly preferable to combinedly use suitable microbial cells described above and the suitable conditions of culture (fermentation) as described below.

The culture is carried out, in general, in a culture medium containing major nutrients and micronutrients suited for

microorganism proliferation. As the above-mentioned nutrients, there may be mentioned, for example, carbon sources (e.g. hydrocarbons such as glucose, sucrose, maltose, starch, corn syrup and molasses: alcohols such as methanol and ethanol), nitrogen sources (e.g. corn steep liquor, ammonium sulfate, ammonium phosphate, ammonium hydroxide, urea and peptone), phosphorus sources (e.g. ammonium phosphate and phosphoric acid) and micronutrients (e.g. minerals such as magnesium, potassium, zinc, copper, iron, mangamins such as biotin, desthiobiotin and vitamin B1; amino acids such as alanine and histidine; and natural raw materials containing vitamins such as yeast extract and mall extract); however, these are not limitative ones, and commonly used ones may be used. Incidentally, in natural components of a culture medium, such as yeast extract, phosphorus sources such as phosphates are contained. The above-mentioned nutrients can be appropriately used in combination.

The culture is generally carried out at a temperature range of 15 to 45° C., preferably 20 to 37° C. If it is below 15° C., the proliferation speed of microorganisms tends to be too slow to allow the industrial production and at high temperatures exceeding 45° C., the viability of microorganisms tends to be easily hindered.

In general, the culture is carried out at a pH range of 4 to 9, preferably 5 to 8. If the pH is not more than 3 or not less than 10. proliferation of microorganisms tends to be easily inhibited.

In the fermentation production on the industrial scale, although it depends on the microorganism species, the cancentration of the carbon sources (including the produced alcohols) during the culture is preferably controlled to a concentration that no adverse effects are substantially caused on the productivity of reduced coenzyme Q10. Accordingly, it is preferable to control the culture so as to have the concentration of the carbon sources that no adverse effects are substantially caused on the productivity of reduced coenzyme Q10, that is, generally to not more than 20 g/L, preferably not more than 5 g/L, and more preferably not more than 2 g/L in the broth.

To control the concentration of the carbon sources, a fed batch culture method is preferably used. The carbon source concentration in the broth can be controlled by adjusting the supply of nutrient sources (especially carbon sources) based on the culture control indexes such as pH, the dissolved oxygen concentration (DO) or the remaining saccharide concentration. Although it depends on the microorganism species, the supply of the nutrient sources may be started from the initial stage of the culture or during the culture. The supply of the nutrient sources may be continuous or intermittent. Incidentally, in supplying the nutrient sources, it is preferable to supply the above-mentioned carbon sources to the culture medium separately from other components.

The culture can be completed at the point when a desired amount of reduced coenzyme  $Q_{10}$  is produced. The culture duration is not particularly limited and it is generally 20 to 200 hours.

The above-mentioned culture is generally carried out aerobically. The term "aerobically" means a condition that oxygen is supplied so as not to cause oxygen limitation (oxygen deficiency) during the culture, and preferably a condition that oxygen is supplied sufficiently so as not to cause substantial oxygen limitation during the culture. The culture is carried outgenerally under an aeration condition, preferably under an aeration and stirring condition.

By using the above-mentioned microorganisms and culture conditions, it becomes possible to obtain microbial cells

containing reduced coenzyme  $Q_{10}$  at a ratio of not less than 70 mole %, preferably not less than 75 mole % among the entire coenzymes  $Q_{10}$ . Furthermore, the productivity of reduced coenzyme  $Q_{10}$  of as high as not less than 1 µg/mL, preferably not less than 2 µg/mL and still more preferably not less than 3 µg/mL can be obtained.

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Next, recovery of the reduced coenzyme Q<sub>10</sub> produced by the above-mentioned culture will be described.

In the present invention, an efficient production of reduced cocnzyme  $Q_{10}$  on the industrial scale is made to be possible 10 partially by the above-mentioned suitable culture and partially by the suitable recovery process of reduced cocnzyme  $Q_{10}$  as described below.

Recovery of reduced coenzyme  $Q_{10}$  is carried out by extraction from the microbial cells obtained by the above- 15 mentioned culture using an organic solvent.

In the extraction, cells can be disrupted optionally. The cell disruption contributes to the efficient extraction of the reduced coenzyme  $Q_{10}$  produced and accumulated in cells. It is needless to say that the cell disruption and extraction can be 20 carried out at the same time.

Incidentally, "disruption" in the present invention may be carried out to the extent that the surface structure such as a cell wall is broken so as to make extraction of reduced coenzyme  $Q_{10}$  possible; therefore, it is not necessary that microbial cells 25 are torn or fragmentated.

The above-mentioned cell disruption is not necessarily required in the case of bacteria. However, in the case of yeast or fungi, the cell disruption is generally required and, when cells are not disrupted, it becomes difficult to efficiently are recover the reduced conzyme  $Q_{10}$  produced and accumulated in the cells.

The above-mentioned disruption of microbial cells can be carried out by the following one or several disruption methods in optional order. As the disruption method, there may be mentioned, for example, a physical treatment, a chemical treatment, an enzymic treatment as well as a heating treatment, an autolysis, an osmolysis, a plasmoptysis and the like.

The above-mentioned physical treatment can be carried out, for example, by using a high pressure homogenizer, an 40 ultrasonic homogenizer, a French press, a ball mill and the like or using them in combination.

The above-mentioned chemical treatment can be carried out, for example, by using an acid (preferably a strong acid) such as hydrochloric acid and sulfuric acid, a base (preferably 45 a strong base) such as sodium hydroxide and potassium hydroxide and the like or using them in combination.

The above-mentioned enzymic treatment can be carried out, for example, by using lysozyme, zymolyase, glucanase, Novozyme, protease, cellulase and the like or by using them 50 appropriately in combination.

The above-mentioned heating treatment can be carried out, for example, by heating to the temperature range of 60 to 100° C. for about 30 minutes to 3 hours.

The above-mentioned autolysis can be carried out, for 55 example, by treatment with a solvent such as ethyl acetate.

The osmolysis or the plasmoptysis for disrupting cells by treating cells with a solution having a different salt concentration from that in the cells are often combinedly used with the above-mentioned physical treatment, chemical treatment. 60 enzymic treatment, heating treatment, autolysis and/or the like since the above lytic method alone is insufficient in the disruption effect.

As the cell disruption method as a pretreatment of extraction and recovery of reduced coenzyme  $Q_{10}$ , among the 6s above-mentioned disruption methods, the physical treatment, the chemical treatment (particularly, an acid treatment and preferably the one with a strong acid (e.g. an acid having a pKa value of not more than 2.5 in the form of an aqueous solution) under the condition that reduced coenzyme  $Q_{10}$  is protected from an oxidation reaction as described below) and the heating treatment are preferred. From the viewpoint of disruption efficiency, the physical treatment is more preferred.

A conventional cell disruption method and coenzyme Q<sub>10</sub> extraction method, specifically, a method comprising extract-

ing coenzyme  $Q_{10}$  by an organic solvent in the presence of sodium hydroxide and pyrogallol has problems in terms of cost, waste treatment, safety in effective utilization of waste microorganisms (waste cells) such as recovery of protein, and the like. However, the cell disruption method, particularly the physical treatment method of the present invention, does not cause subgeneration of a large quantity of salts by neutralization, and is a suitable method from a viewpoint of the waste treatment and the effective utilization of waste microorganisms (waste cells).

The form of the microbial cells to be used for the abovementioned cell disruption may be n broth, a concentrated broth, microbial cells collected as wet cells from the broth, a product obtained by washing them, a suspension of the wet cells in a solvent (including, for example, water, physiologi-

cal saline solution, buffers and the like), dry cells obtained by drying the above-mentioned wet cells, a suspension of the dry cells in a solvent (including, for example, water, physiological saline solution, buffers and the like), and the like. Preferred is an aqueous suspension of microbial cells, and in terms of operability and the like, more preferred are the broth, the concentrated broth, and the product obtained by washing

them. The form of the above-mentioned microbial cells or disrupted product thereof to be used for extraction and recovery of reduced coenzyme  $Q_{10}$  is similarly as described above, not particularly limited and may be wet cells/dry cells of the microbial cells/disrupted product thereof. Preferably, it is an aqueous suspension of the microbial cells or disrupted product thereof, and more preferably the broth, the concentrated and/or washed broth, or solutions obtained by disrupting them (cach of them is an aqueous suspension).

The cell concentration in the above-mentioned suspension of the microbial cells or disrupted product thereof is not particularly limited and is generally 1 to 25% by weight on the basis of dry weight. Preferably, it is 10 to 20% by weight in terms of cost.

Reduced coenzyme  $Q_{10}$  can be recovered by extracting the microbial cells and disrupted product thereof obtained in such a manner by an organic solvent.

As the organic solvent to be used for the extraction, there may be mentioned hydrocarbons, fatty acid esters, ethers, alcohols, fatty acids, ketones, nitrogen compounds (including nitrites and amides), sulfur compounds and the like.

Particularly, in extracting reduced coenzyme  $Q_{10}$ , in terms of protection from oxidation by a molecular oxygen, at least one species of hydrocarbons, fatty acid esters, ethers, and nitrites is preferably used. Among them, hydrocarbons and fatty acid esters are particularly preferable, and hydrocarbons are most preferable.

On the industrial production scale, complete oxygen elimination is very difficult to be achieved and, furthermore, fairly long periods of time are required for individual operations, unlike laboratory scale production, so that residual oxygen exerts a great adverse effect. The oxidation in question is directly connected to a subgeneration of oxidized coenzyme  $Q_{10}$  from reduced coenzyme  $Q_{10}$ . Accordingly, use of the above-mentioned organic solvent (such as bydrocarbons,

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fatty acid esters, ethers, and nitrites) with high oxidation prevention effect in the extraction of reduced coenzyme  $Q_{10}$  assists an efficient extraction.

The hydrocarbons are not particularly restricted, but there may be mentioned, for example, aliphatic hydrocarbons, aros matic hydrocarbons, halogenated hydrocarbons, and the like. Preferred are aliphatic hydrocarbons and aromatic hydrocarbons, and more preferred are aliphatic hydrocarbons.

The aliphatic hydrocarbons are not particularly restricted, and may be cyclic or acyclic, or saturated or unsaturated. 10 However, generally, saturated ones are preferably used. Usually, ones containing 3 to 20 carbon atoms, preferably 5 to 12 carbon atoms, and more preferably 5 to 8 carbon atoms are used. As specific examples, there may be mentioned, for example, propane, butane, isobutane, pentane, 2-methylbu- 15 tane, hexane, 2-methylpentane, 2,2-dimethylbutane, 2,3dimethylbutane, heptane, heptane isomers (e.g. 2-methylhex-3-methylhexane, ane. 2,3-dim-ethylpentane, 2.4dimethylpentane), octane, 2,2,3-trimethylpentane, isooctane, nonane, 2.2,5-trimethylhexane. decane, dodecane, 2-pen- 20 tene, 1-hexene, 1-heptene, 1-octene, 1-nonene, 1-decene, cyclopentane, methylcyclopentane, cyclohexane, methylcyclohexane, ethylcyclohexane, p-menthane, cyclohexene, and the like. Preferred are pentane, 2-methylbutane, hexane, 2-methylpentane, 2,2-dimethylbutane, 2,3-dimethylbutane, 25 heptane, heptane isomers (e.g. 2-methylhexane. 3-methylhexane, 2.3-dimethylpentane, 2,4-dimethylpentane), octane, 2,2,3-trimethylpentane, isooctane, nonane, 2,2,5-trimethylhexane, decane, dodecane, cyclopentane, methylcyclopentane, cyclohexane, methylcyclohexane, ethylcyclohexane, 30 p-menthane, and the like. More preferred are pentane, 2-methylbutane, hexane, 2-methylpentane, 2,2-dimethylbutane, 2.3-dimethylbutane, heptane, heptane isomers (e.g. 2-methylhexane, 3-methylhexane, 2,3-dimethylpentane, 2,4-dimethylpentane), octane, 2,2,3-trimethylpentane, isooctane, 35 cyclopentane, methylcyclopentane, cyclohexane, methylcyclohexane, ethylcyclohexane, and the like.

Generally, heptanes, not only heptane but also heptane isomers such as methylcyclohexane having 7 carbon atoms and a mixture thereof are preferably used. More preferred are pentanes (e.g. pentane and the like) having 5 carbon atoms, hexanes (e.g. hexane, cyclohexane and the like) having 6 carbon atoms, and heptanes (e.g. heptane, methylcyclohexane and the like) having 7 carbon atoms. Particularly preforred are heptanes (e.g. heptane, methylcyclohicxane and the like) in terms of especially high protection effect from oxidation, and the most preferred is heptane.

The aromatic hydrocarbons are not particularly restricted, but generally ones containing 6 to 20 carbon atoms, preferably 6 to 12 carbon atoms, and more preferably 7 to 10 carbon 50 atoms are used. As specific examples, there may be mentioned, for example, benzene, toluene, xylene, o-xylene, m-xylene, p-xylene, ethylbenzene, cumene, mesitylene, tetralin, butylbenzene, p-cymene, cyclohexylbenzene, diethylbenzene, pentylbenzene, dipentylbenzene, dodccylbenszene, styrene, and the like. Preferred are toluene, xylene, o-xylene, m-xylene, p-xylene, ethylbenzene, cumene, mesitylene, tetralin, butylbenzene, p-cymene, cyclohexylbenzene, diethylbenzene, pentylbenzene, p-cymene, cyclohexylbenzene, are toluene, xylene, o-xylene, m-xylene, p-xylene, cumene, 60 tetralin and the like, and most preferred is cumene.

The halogenated hydrocarbons are not particularly restricted, and may be cyclic or acyclic, or saturated or unsaturated. However, acyclic ones are preferably used in general. Usually, more preferred are chlorinated hydrocarbons and 65 fluorinated hydrocarbons, and chlorinated hydrocarbons are still more preferred. Additionally, ones containing 1 to 6

carbon atoms, preferably 1 to 4 carbon atoms, and more preferably 1 to 2 carbon atoms are suitably used. As specific examples, for example, there may be mentioned dichloromethane, chloroform, carbon tetrachloride, 1,1-dichloroethane, 1,2-dichloroethane, 1,1,1-trichloroethane, 1,1,2-1.1,1,2-tetrachloroethane, trichloroethane, 1.1.2.2tetrachloroethane. pentachloroethane, hexachloroethane, 1,1-dichloroethylene, 1,2-dichloroethylene, trichloroethylene, tetrachloroethylene, 1,2-dichloropropane, 1,2,3-trichloropropane, chlorobenzene, 1,1,1,2-tetrafluoroethane, and the like. Preferred are dichloromethane, chloroform, carbon teirachloride, 1,1-dichloroethane, 1,2-dichloroethane, 1,1,1trichloroethane, 1,1,2-trichloroethane, 1,1-dichloroethylene, 1,2-dichloroethylene, trichloroethylene, chlorobenzene, 1,1, 1,2-tetrafiuoroethane, and the like. More preferred are dichloromethane, chloroform, 1.2-dichloroethylene, trichloroethylene, chlorobenzene, 1,1,1,2-tetrafluoroethane and the like.

The fatty acid esters are not particularly restricted, but there may be mentioned, for example, propionates, acetates, formates, and the like. Preferred are acetates and formates, and more preferred are acetates. Ester functional groups thereof are not particularly restricted, but, in general, preferred are alkyl esters having 1 to 8 carbon atoms and aralkyl esters having 7 to 12 carbon atoms, more preferred are alkyl esters having 1 to 6 carbon atoms, and still more preferred are alkyl esters having 1 to 4 carbon atoms.

As specific examples of the propionates, there may be mentioned, for example, methyl propionate, ethyl propionate, butyl propionate, isopentyl propionate, and the like. Preferred are ethyl propionate and the like.

As specific examples of the acetates, there may be mentioned, for example, methyl acetate, ethyl acetate, propyl acetate, isopropyl acetate, butyl acetate, isobutyl acetate, secbutyl acetate, pentyl acetate, isopentyl acetate, secbutyl acetate, pentyl acetate, benzyl acetate, and the like. Preferred are methyl acetate, thyl acetate, propyl acetate, isopropyl acetate, butyl acetate, isobutyl acetate, sec-butyl acetate, pentyl acetate, isobutyl acetate, sec-butyl acetate, pentyl acetate, isopentyl acetate, sec-butyl acetate, ethyl acetate, and the like. More preferred are methyl acetate, isobutyl acetate, isopropyl acetate, butyl acetate, isobutyl-acetate, and the like. Most preferred is ethyl acetate.

As specific examples of the formates, there may be mentioned, for example, methyl formate, ethyl formate, propyl formate, isoptopyl formate, butyl formate, isobutyl formate, sec-butyl formate, pentyl formate, and the like. Preferred are methyl formate, ethyl formate, propyl formate, butyl formate, isobutyl formate, pentyl formate, and the like. Most preferred is ethyl formate.

The ethers are not particularly restricted, and may be cyclic or acyclic, or saturated or unsaturated. But saturated ones are preferably used in general. Generally, ones containing 3 to 20 carbon atoms, preferably 4 to 12 carbon atoms and more preferably 4 to 8 carbon atoms are used. As specific examples, there may be mentioned, for example, diethyl ether, methyl tert-butyl ether, dipropyl ether, diisopropyl ether, dibutyl ether, dihexyl ether, ethyl vinyl ether, butyl vinyl ether, anisol, phenetole, butyl phenyl ether, methoxytoluene, dioxane, furan, 2-methylfuran, tetrahydrofuran, tetrahydropyran, ethylene glycol dimethyl ether, ethylene glycol diethyl ether, ethylene glycol dibutyl ether, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, ethylene glycol monobutyl ether, and the like. Preferred are diethyl ether, methyl tert-butyl ether, dipropyl ether, diisopropyl ether, dibutyl ether, dihexyl ether, anisol, phenetole, butyl phenyl ether, methoxytoluene, dioxane. 2-methylfuran, tetrahydrofuran, tetrahydropyran. ethylene glycol dimethyl ether, eth-

ylene glycol diethyl ether, ethylene glycol dibutyl ether, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, and the like. More preferred are diethyl ether, methyl tert-butyl ether, anisol, dioxane, tetrahydrofuran, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, s and the like. Still more preferred are diethyl ether, methyl tert-butyl ether, anisol, and the like, and most preferred is methyl tert-butyl ether.

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The alcohols are not particularly restricted but may be cyclic or acyclic, or saturated or unsaturated. Saturated ones 10 are generally preferred, however. Generally, ones containing 1 to 20 carbon atoms, more preferably 1 to 12 carbon atoms, and still more preferably 1 to 6 carbon atoms are used. Among them, monohydric alcohols containing 1 to 5 carbon atoms, and trihy- 15 dric alcohols containing 3 carbon atoms are preferred.

As specific examples of these alcohols, there may be mentioned, for example, monohydric alcohols such as methanol. ethanol, 1-propanol, 2-propanol, 1-butanol, 2-butanol, isobutyl alcohol, tert-butyl alcohol, 1-pentanol, 2-pentanol, 3-pen-20 tanol, 2-methyl-1-butanol, isopentyl alcohol, tert-pentyl alcohol, 3-methyl-2-butanol, neopentyl alcohol, 1-hexanol. 2-methyl-1-pentanol, 4-methyl-2-pentanol, 2-ethyl-1-butanol, 1-heptanol, 2-heptanol, 3-heptanol, 1-octanol, 2-ocfanol. 2-ethyl-1-hexanol, 1-nonanol, 1-decanol, 1-undecanol, 1-dodecanol, allyl alcohol, propargyl alcohol, benzyl alcohol, cyclohexanol. 1-methylcyclohexanol. 2-methylcyclohexanol, 3-methylcyclohexanol, 4-methylcyclohexanol, and the like; dihydric alcohols such as 1,2-ethanediol, 1,2-propandiol, 1,3-propandiol, 1,2-butanediol, 1,3-butanediol, 1,4-bu- 36 tanediol, 2,3-butanediol, 1,5-pentanediol, and the like: and trihydric alcohols such as glycerol, and the like.

As the monohydric alcohols, preferred are methanol, ethanol, 1-propanol, 2-propanol, 1-butanol, 2-butanol, isobutyl alcohol, tert-butyl alcohol, 1-pentanol, 2-pentanol, 3-pen- 35 tanol, 2-methyl-1-butanol, isopentyl alcohol, tert-pentyl alcohol, 3-methyl-2-butanol, neopentyl alcohol, 1-hexanol, 2-methyl-1-pentanol, 4-methyl-2-pentanol, 2-ethyl-1-butanol, 1-heptanol, 2-heptanol, 3-heptanol, 1-octanol, 2-octanol. 2-cthyl-1-hexanol, 1-nonanol, 1-decanol, 1-undecanol, 40 1-dodecanol, benzyl alcohol, cyclohexanol, 1-methylcyclohexanol, 2-methylcyclohexanol, 3-methylcyclohexanol, 4-methylcyclohexanol, and the like. More preferred are methanol, ethanol, 1-propanol, 2-propanol, 1-butanol, 2-butanol, isobutyl alcohol, tert-butyl alcohol, 1-pentanol, 2-pen- 45 tanol, 3-pentanol, 2-methyl-1-butanol, isopentyl alcohol, tert-pentyl alcohol, 3-methyl-2-butanol, neopentyl alcohol, 1-hexanol, 2-methyl-1-pentanol, 4-methyl-2-pentanol, 2-ethyl-1-butanol, cyclohexanol, and the like. Still more preferred are methanol, ethanol, 1-propanol, 2-propanol, 1-bu- 50 tanol, 2-butanol, isobutyl alcohol, tert-butyl alcohol, 1-pentanol, 2-pentanol, 3-pentanol, 2-methyl-1-butanol, isopentyl alcohol, tert-pentyl alcohol, 3-methyl-2-butanol, neopentyl alcohol, and the like. Particularly preferred are methanol, ethanol, 1-propanol, 2-propanol, 1-butanol, 2-butanol, isobu- 55 tyl alcohol, 2-methyl-1-butanol, isopentyl alcohol, and the like. Most preferred is 2-propanol.

As the dihydric alcohols, preferred are 1.2-ethanediol, 1.2propandiol, 1,3-propandiol, and the like. Most preferred is 1.2-ethanediol. As the trihydric alcohols, glycerol is pre- 60 ferred.

As fatty acids, there may be mentioned, for example, formic acid, acetic acid, propionic acid, and the like. Preferred are formic acid and acetic acid, and most preferred is acetic acid.

The ketones are not particularly restricted, and ones having 3 to 6 carbon atoms are preferably used. As specific examples.

there may be mentioned, for example, acctone, methyl ethyl ketone, methyl butyl ketone, methyl isobutyl ketone, and the like. Preferred are acctone and methyl ethyl ketone, and most preferred is acctone.

The nitriles are not particularly restricted, and may be cyclic or acyclic, or saturated or unsaturated. However, saturated ones are preferably used in general. Generally, ones containing 2 to 20 carbon atoms, preferably 2 to 12 carbon atoms, and more preferably 2 to 8 carbon atoms are used.

As specific examples, there may be mentioned, for example, acctonitrile, propiononitrile, malononitrile, butyronitrile, isobutyronitrile, succinonitrile, valeronitrile, glutaronitrile, hexanenitrile, heptyleyanide, octyleyanide, undecanenitrile, dodecanenitrile, tridecanenitrile, pentadecanenitrile, stearonitrile, chloroacetonitrile, bro-

pendaceanentrite, stearonirrite, enformaceionirrite, bromoaceionitrile, chloropropiononitrile, bromopropiononitrile, methoxyaceionitrile, methyl cyanoacetate, ethyl cyanoacetate, tolunitrile, benzonitrile, chlorobenzonitrile, bromobeno zonitrile, cyanobenzoic acid, nitrobenzonitrile, anisonitrile, phthalonitrile, bromotolunitrile, methyl cyanobenzoate, methoxybenzonitrile, acetylbenzonitrile, naphthonitrile, biphenylcarbonitrile, phenylpropiononitrile, phenylbutyronitrile, methylphenylacetonitrile, diphenylacetonitrile, s naphthylacetonitrile, nitrophenylacetonitrile, cyclohezanecarbonitrile, cycloheptanecarbonitrile, phenylcyclohexanecarbonitrile, tolylcyclohexanecarbonitrile, and the like.

Preferred are acetonitrile, propiononitrile, succinonitrile, butyronitrile, isobutyronitrile, valeronitrile, methyl cyanoacctate, ethyl cyanoacctate, benzonitrile, tolunitrile and chloropropiononitrile. More preferred are acetonitrile, propiononitrile, butyronitrile and isobutyronitrile, and most preferred is acetonitrile.

As the nitrogen compounds other than nitriles, there may be mentioned, for example, amides such as formamide, N-methylformamide, N,N-dimethylformamide, N,N-dimethylacetoamide, N-methylpyrrolidone, and nitromethane, triethylamine, pyridine, and the like:

As the sulfur compounds, there may be mentioned, for example, dimethyl sulfoxide, sulfolane, and the like.

In selecting the organic solvent to be used from among the organic solvents mentioned above, such properties as boiling point and viscosity (e.g. the solvent should have a boiling point which allows appropriate warming for increasing solubility and facilitates a solvent removal from wet masses by drying and solvent recovery from crystallization filtrates and the like (about 30 to 150° C. at 1 atm), a melting point such that solidification hardly occurs in handling at room temperature as well as upon cooling to room temperature or below (not lower than about 0° C., preferably not lower than about 10° C.), and a low viscosity (not higher than about 10 cp at 20° C. and the like)) are preferably taken into consideration.

The oxidation prevention effect on reduced coenzyme  $Q_{10}$ in a solvent tends to increase in a highly-concentrated solution of reduced coenzyme  $Q_{10}$ . Reduced coenzyme  $Q_{10}$ shows high solubility in the above-mentioned organic solvents with high oxidation prevention effect (e.g. hydrocarbons, fatty acid esters and the like). The high solubility makes it possible to handle the highly-concentrated solution and to promote the oxidation prevention. A preferable concentration of reduced coenzyme  $Q_{10}$  for oxidation prevention at the time of extraction is not particularly limited, but is generally not less than 0.001% by weight, preferably not less than 0.01% by weight, and more preferably not less than 0.1% by weight as the concentration of reduced coenzyme  $Q_{10}$  in the above-

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mentioned organic solvent. The upper limit is not particularly limited, however, in general, it is not more than 10% by weight.

Among the above-mentioned organic solvents, to extract and recover reduced coenzyme  $Q_{10}$  from wet cells and dry s cells of the microbial cells or disrupted product thereof, hydrophilic organic solvents are preferably used. Specifically, there may be mentioned acetone, acetonitrile, methanol, ethanol, 1-propanol, 2-propanol and the like.

Furthermore, among the above-mentioned organic solvents, to extract and recover reduced coenzyme Q<sub>10</sub> from the aqueous suspension of the microbial cells or disrupted product thereof, hydrophobic organic solvents are preferably used. Use of such solvents assists the removal of watersoluble substances derived from microorganisms. Many of hydrophobic organic solvents have high oxidation prevention effect as described above, thus are very advantageous.

As the hydrophobic organic solvents, hydrocarbons, fatty acid esters and ethers are preferred.

In the case of the above-mentioned extraction operation, 20 when reduced coenzyme  $Q_{10}$  is extracted from the aqueous suspension of the microbial cells or disrupted product thereof, particularly from the aqueous suspension of the disrupted product, further particularly the case in which the disrupted product is physically treated, by an organic solvent, enul-25 sions tend to be partly formed because of the presence of cell components such as proteins and phase separation tends to be difficult. Therefore, it becomes important to suppress the formation of emulsions mentioned above and to efficiently carry out extraction.

For that, as an extraction solvent, in addition to the abovementioned hydrophobic organic solvent, it is preferable to use a hydrophilic organic solvent as an auxiliary solvent in combination.

In this case, the hydrophobic organic solvent is not particu-35 larly limited and those mentioned above may be used. Preferred are hydrocarbons, and more preferred are aliphatic hydrocarbons. Among the aliphatic hydrocarbons, those having 5 to 8 carbon atoms are preferably used.

As specific examples of the aliphatic hydrocarbons containing 5 to 8 carbon atoms, there may be mentioned, for example, pentane, 2-methylbutane, hexane, 2-methylpentane, 2.2-dimethylbutane, 2,3-dimethylbutane, heptane, heptane isomers (e.g. 2-methylhexane, 3-methylhexane, 2,3dimethylpentane, 2,4-dimethylpentane), octane, 2,2,3dimethylpentane, 2,4-dimethylpentane), octane, 2,2,3trimethylpentane, isooctane, cyclopentane, methylcyclopentane, cyclohexane, methylcyclohexane, ethylcyclohexane, and the like. Particularly preferred are hexane, heptane and methylcyclohexane, and most preferred are hexane and heptane.

The hydrophilic organic solvent to be used in combination with the above-mentioned hydrophobic organic solvent is not particularly limited and those mentioned above may be used. Preferred are alcohols. Among the alcohols, monohydric alcohols having 1 to 5 carbon atoms are preferably used. As specific examples thereof, there may be mentioned, for example, methanol, ethanol, 1-propanol, 2-propanol, 1-butanol, 2-butanol, isobutyl alcohol, tert-butyl alcohol, 1-pentanol, 2-pentanol, 3-pentanol, 2-methyl-1-butanol, isopentyl alcohol, tert-pentyl alcohol, 3-methyl-2-butanol, neopentyl alcohol, and the like. Particularly preferred are methanol, ethanol, 1-propanol and 2-propanol, and most preferred is 2-propanol.

The amounts of the above-mentioned hydrophilic organic solvent and hydrophobic organic solvent to be used are not particularly limited. But preferably, as the concentration at the time of extraction, the hydrophilic organic solvent is used in a range of 5 to 50% by volume and the hydrophobic organic solvent is used in a range of 25 to 65% by volume relative to the total volume of the entire solution.

In recovering reduced coenzyme  $Q_{10}$ , the temperature at the time of extraction is not particularly limited and is generally in a range of 0 to 60° C, and preferably 20 to 50° C,

As the extraction method, both batch extraction and continuous extraction (preferably countercurrent multistage extraction) may be used. However, the continuous extraction (preferably countercurrent multistage extraction) is preferable in terms of productivity. The stirring duration in the batch extraction is not particularly limited but is generally not less than 5 minutes. The average retention time in the continuous extraction is not particularly limited but is generally not less than 10 minutes.

In recovering reduced coenzyme  $Q_{10}$ , it is preferable to be careful so that reduced coenzyme  $Q_{10}$  is not decomposed (e.g. so that reduced coenzyme  $Q_{10}$  is not oxidized to oxidized coenzyme  $Q_{10}$ ). For that, the above-mentioned extraction (including cell disruption) is preferably carried out under an acidic to a weakly basic condition, and more preferably under an acidic to a neutral condition. In the case where a pH is used as an index, although it depends on the contact time, the pH is generally not more than 10, preferably not more than 9, more preferably not more than 8, and still more preferably not more than 7.

By the above-mentioned conditions, an oxidation reaction can be substantially prevented and, optionally, more strictly, the above-mentioned cell disruption and/or extraction are preferably carried out under the condition that reduced coenzyme  $Q_{10}$  is protected from an oxidation reaction. It is preferable to carry out at least the extraction under this condition, and it is more preferable to carry out the disruption and the extraction under this condition.

As "the condition that reduced coenzyme Q10 is protected from an oxidation reaction" means, for example, a deoxygenized atmosphere (an atmosphere of an inert gas such as nitrogen gas, carbon dioxide gas, helium gas, argon gas or hydrogen gas, reduced pressure, a boiling condition); a high salt concentration condition, for example, preferably a condition where salts (e.g. inorganic salts such as sodium chloride and sodium sulfate) are contained in not less than about 5% in an aqueous phase; the condition in the presence of a strong acid (e.g. an acid with a pKa value of not more than 2.5 in an aqueous solution), for example, in the presence of not less than 0.1 mole % of the strong acid relative to 1 mole of reduced coenzyme Q10; and the condition in the presence of an antioxidant, for example, in the concomitant presence of ascorbic acid, citric acid, salts and esters thereof (e.g. not less than 0.1% by weight of them relative to reduced coenzyme Q10). There may also be mentioned a reduction condition (a condition in which oxidized coenzyme Q10 can be converted into reduced coenzyme Q10), for example, a condition involving a contact with a reducing agent such as dithionous acid.

By the above-mentioned culture (fermentation) and extraction, reduced coenzyme  $Q_{10}$  can be suitably produced and recovered. Preferably, an extract containing not less than 70 mole %, preferably not less than 75 mole % of reduced coenzyme  $Q_{10}$  among the entire coenzymes  $Q_{10}$  is obtained.

Thus-obtained extract containing reduced coenzyme  $Q_{10}$  is optionally purified by column chromatography, reduction treatment, or the like and then subjected to crystallization to obtain high-purity reduced coenzyme  $Q_{10}$  crystalls. Incidentally, also in this case, a series of treatment steps are preferably carried out under "the condition that reduced coenzyme  $Q_{10}$  is protected from an oxidation reaction" mentioned above.

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In the present invention, oxidized coenzyme  $Q_{10}$  can be produced by oxidizing the above-mentioned microbial cells or disrupted product thereof and then extracting oxidized coenzyme  $Q_{10}$  by an organic solvent, or extracting reduced coenzyme  $Q_{10}$  from the microbial cells or disrupted product thereof by an organic solvent, purifying optionally and oxidizing the resultant to oxidized coenzyme  $Q_{10}$ . The above-mentioned oxidation may be carried out by, for

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example, mixing reduced coenzyme Q10 (preferably an aqueous suspension of the microbial cells or disrupted product thereof containing reduced coenzyme Q<sub>10</sub>, an extract containing reduced coenzyme Q10 or the like) with an oxidizing agent (e.g. manganese dioxide or the like) and then, for example, oxidizing the mixture at room temperature (e.g. 30° C.) for not less than 30 minutes. In the case where the microbial cells 15 or disrupted product thereof are oxidized, the extraction operation of oxidized coenzyme Q10 can be carried out in the same manner as the above-mentioned extraction operation of reduced coenzyme Q10. Thereby, oxidized coenzyme Q10 can be efficiently recovered. Incidentally, it is not necessary to 20 carry out the recovery of oxidized coenzyme  $Q_{10}$  under "the condition that reduced coenzyme  $Q_{10}$  is protected from an oxidation reaction", which is recommended for the recovery of reduced coenzyme Q10 and the recovery may be carried out in consideration of general safe operation and the like. The 25 thus-obtained oxidized coenzyme  $Q_{10}$  may be optionally purified by column chromatography or the like, and, finally by conducting crystallization operation, high-purity oxidized coenzyme Q10 crystals may be obtained. 30

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a schematic diagram of a countercurrent 3-step continuous extraction apparatus used in Example 8.

The following examples illustrate the present invention in further detail. These examples are, however, by no means 40 limitative of the scope of the present invention.

#### Example 1

Various coenzyme Q10-producing microorganisms shown 45 in the following Tables 1 to 3 were cultured with shaking (amplitude: 2 cm, 310 reciprocation/min) at 25° C. for 72 hours in 10 mL of culture media [(glucose: 20 g, peptone: 5 g, yeast extract: 3 g, malt extract: 3 g)/L, pH: 6.0] using test tubes (inner diameter: 21 mm, entire length: 200 mm), and the 50 obtained broth were optionally concentrated. Under a nitrogen atmosphere, in the concomitant presence of 3 parts by volume of isopropanol and 18.5 parts by volume of n-hexane relative to 10 parts by volume of the broth, the obtained solutions were vigorously shaken for 3 minutes using 10 parts 55 by volume of glass beads (425 to 600 µm) to carry out cell disruption and extraction. The obtained hexane phases were evaporated (at 40° C.) under reduced pressure and analyzed by high performance liquid chromatography (HPLC) to determine the ratio and the production amount of reduced 6 coenzyme Q10.

HPLC conditions

Column: YMC-Pack 4.6×250 mm (manufactured by YMC. Co., Ltd.) Mobile phase: methanol/n-hexane=85/15 Flow rate: 1 mL/min

Detection: UV 275 nm

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The results are shown in Tables 1 to 3. The ratio of reduced coenzyme  $Q_{10}$  means a mole percentage value of the ratio of reduced coenzyme  $Q_{10}$  relative to the total of oxidized coenzyme  $Q_{10}$  and reduced coenzyme  $Q_{10}$  on the basis of the areas of the peaks of reduced coenzyme  $Q_{10}$  and oxidized coenzyme  $Q_{10}$  and the ratio of the mole absorption coefficients thereof (1:7.5).

TABLE 1

<b>`</b> `		the second s
•	Strain name	Upper stand: Ratio of reduced coenzyme Q10 (%) Lower stand: Production amount of reduced coenzyme Q10 (ug/ml)
	Agrobacterium tumefacience IFO 13263	82
	Agrobacterium radiobacter ATCC 4718	78
	Aspergillus clavauus JCM 1718	7. 83
	Acetobacter xylinum IEO15237	77
	Aminohacter agunouensis JCM 7854	70
	Agromonas oligotrophica JCM 1494	3 75
	Acidiphilium multivorum JCM 8867	2 73
	Bulleromyces albus 1FQ 1192	3 72
	Bullera armeníaca IFO 10112	2 85
	Brevandimonas diminuta JCM 2788	7 82
	Cryptococcus laurentii IFO 0609	5 79
	Chionòsphaera apobasidialis CBS 7430	6 71
	Candida curvata ATCC 10567	74
	Cerinosterus lutcoalbus JCM 2923	3 79
	Exisophiala alcalophila JCM12519	.5 77
	Exobasidium gracile IFO7788	3 79
	Fellomyces fuchouensis IFO 10374	2 70
	Filobasidiella neoformans CBS 132	2 88
	Filobasidium capsuloigenum CBS 1906	2 82
	Geatrichum capitatim JCM 6258	3 77
	Graphiola evlindrica IFO 6426	3
	Gluomahactar udoradur IEO 2257	4
	Graconooucler suboxyaans 100 5257	80 6
	Kockovaella imperatae ICM 7826	78

#### TABLE 2

		Upper stand:
60		Ratio of reduced
		coenzyme Q10 (%)
		Lower stand:
		Production amount
		of reduced coenzyme
	Strain name	Q10 (µg/ml)
65	Kuntmanonyces nectairei IFO 10118	79
		2

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19 TABLE 2-continued

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TABLE 3-continued

			J. IDED & COMMAN	<u>~</u>
Strain name	Upper stand: Ratio of reduced. coenzyme Q10 (%) Lower stand: Production annount of reduced coenzyme Q10 (µg/m1)	5	Strain name	Upper stand: Ratio of reduced coenzyme Q10 (%) Lower stand: Production amount of reduced coenzyme Q10 (us/ml)
Lalaria cerasi CBS 275 28	75	,	Tapharina caerulescens CBS 351.35	81
Leucosposidium scottii IFO 1212	2 88	10	Tranalla neutroitenten ATCC 28420	2
Leolouella quisa ICM 7573	.6		Tremena mesemerica ATCC 24458	89 3
	3		Dichosporon cutaneum IFO 1198	95
Methylobacterium extorguene JCM 2802	72		Tilletiaria anomala CBS 436.72	75
Mycoplana ramosa JCM 7822	-80	.15	Tilletia carles JCM 1761	4
Oosporidium margaritifertim CBS2531	2			3
Bround and an an an intervention of the second state	2		Totyposparium builatum 3CM 2006.	73 x
rseudomonas demirificans IAM 12023	. 85		Illetiopsis wishintonesis CBS 544	76
Pseudomonas shuylkilliensis IAM 1092	84	20	Ustiliago esculenta IFO 9887	2 78
Psedozyma aphidis CBS 517.23	6 79		Idminute the loss the second	2
Paragoous douise Comes 1015 5 5000	5		Chaentomyces megatosporus ICM 5269	87 2
S to deoedis denta preas SCM 6892	\$3		Xanthophilamyces dendrorhous IFO 10129	-84
Petramyces alliaceus IFO 7538	72	25	Xanthobacter florus JCM1204	2 80
Rhodotorula glutinis IFO 1125	79		Pacellamicas Illaritius ATCC10114	Ž
Rhadotoryla minuta IFO 0387	7			-80
	.8		Acremonium chrysogenum ATCC11550	75
Rhodosporidium diobovatum AICC 1830	86	30	Hyphomonas hirschiana ATCC33886	72
Rhizomonas suberfaciens IFO 15212	82		Rhizobium melitori ATCC9930	3
Rhodoblum orients JCM 9337	2 80			10
Whendersteiner aller and the desired	2			ووعيدة فتستع بيتونين الكركات المتروي
Rhonoplanes elegans JCM9224	74	35		
Rhodopseudomonas palustris JCM2524	90		Example 2	
Rhodobucter cansulatus SB 1003	6	•	Rhodotorula abritatis IEO1125 was no	which the authority of
	6	:	25° C. for 48 hours in a culture medium	Ineplone 5 a veset
Sporobolomyces hoismicus IFO 1034	72	40	extract: 3 g, malt extract: 3 g, glucose: 20	g/L, pH: 6.0). The
Sporabolamyces pararoseus IFO 0471	93	i	cells after the culture were collected by	centrifugation and
Suardinholus internets IEO 1820	8	1	suspended in a phosphoric acid buffer s	solution at pH 7 to
sporenovous joursont 1rO-1840	73	,	which N-methyl-N'-nitro-N-nitrosogual	nidine have been
Saitoella complicata IFO 10748	97	43	maintaining the solution at 25° C for 1.1	200 µg/mL. After

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			on an agai plate of the above-mentioned culture medium. The
Strain name	Upper stand: Ratio of reduced coenzyme Q10 (%) Lower stand: Production amount of reduced coenzyme Q10 (µg/mf)	- 50 55	production amount and the ratio of reduced coenzyme $Q_{10}$ in the isolated mutant strain were determined in the same man- ner as Example 1. The strains having higher production amount and the ratio of reduced coenzyme $Q_{10}$ as compared with those of wild strains was further mutated repeatedly. As the result, by repeating the mutagenesis for 10 times, mutant strains with production
Schizosaecharomyees pomhe IFO 0347	90	•	obtained. In this case, the ratio of reduced coangung Q
Solihoomovas parenqueimobilis 150-15100	-8		not less than 80 mole %.
-pringonomic paraptatenatorias neo 15100	78		
Sporotrichum cellulophilium ATCC 20493	73	60	Example 3
Sympodiomycopsis paphiapedili ICM 8318	6 80		Saitoella complicata IFO 10748 was aerobically cultured
Sterigmatosporidium polymorphum IFO 10121	72		at 25° C. for 72 hours in 10 L of a culture medium (peptone:
Sphingomonus adhesiva JCM 7370	2 80 3	65	6.0). The obtained cells were disrupted for 2 times at 80 MPa of disruption pressure by a manual of the statement of the stat

#### Example 3

suspended in a 0.9% NaCl solution. The obtained cell suspension was properly diluted and a colony was to be formed

on an agar plate of the above-mentioned culture medium. The

45 maintaining the solution at 25° C. for 1 hour, the cells were washed for 5 times with a 0.9% NaCl solution and further

Saitoella complicata IFO 10748 was acrobically cultured at 25° C. for 72 hours in 10 L of a culture medium (peptone: 5 g. yeast extract: 3 g. malt extract: 3 g. glucose: 20 g/L, pH; 55 6.0). The obtained cells were disrupted for 2 times at 80 MPa of disruption pressure by a pressure homogenizer (manufactured by Lanni Co.) sealed with nitrogen gas to obtain a

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ccll-disrupted solution. The ccll-disrupted solution was subjected to extraction with 30 parts by volume of isopropanol and 40 parts by volume of hexane for 3 times to oblain an extract. The extraction ratio was 99%. The ratio of reduced coenzyme  $Q_{10}$  was 97 mole W.

#### Example 4

When mutant strains of *Rhodotorula glutinis* 1FO1125 were aerobically cultured at 25° C. in 10 L of a culture medium (peptone: 10 g. yeast extract: 5 g, malt extract: 3 g, glucose: 20 g/L, pH: 6.0), glucose was fed at the rate of 4 g/h after the lapse of 48 hours to 96 hours (fed glucose amount: 190 g). The production amount of reduced coenzyme  $Q_{10}$  per culture medium was not less than 20 µg/mL and the ratio of reduced coenzyme  $Q_{10}$  was not less than 80 mole %.

#### Example 5

The extract obtained in Example 3 was subjected to solvent substitution with a hexane solution, the resultant was 20 adsorbed in a column filled with silica gel and subjected to development and elution by a solution of n-hexane/diethyl ether (9/1) to obtain a fraction containing reduced coenzyme  $Q_{10}$ . Furthermore, the fraction was cooled to 2° C. with stirring to obtain a white slurry. All the above-mentioned opern-55 tions were carried out in a nitrogen atmosphere. The obtained slurry was filtered under reduced pressure, the resulting wet crystals were washed with the development solution same as used above (the temperature of the solvent used for washing was 2° C.), and the wet crystals were dried under reduced 30 pressure (20 to 40° C., 1 to 30 mmHg) to obtain 81 mg of white dry crystals. The purity of the obtained crystals was 99.9% and the ratio of reduced coenzyme  $Q_{10}$  was 90 mole %.

#### Example 6

The extract obtained in Example 3 was subjected to solvent substitution with n-hexane, the resultant was added with 50 mg of manganese dioxide, and the mixture was stirred at 30° C. for 30 minutes: Thus-obtained reaction solution was frac-A0tionated and purified in the same manner as Example 5 to obtain 74 mg of high-purity oxidized coenzyme  $Q_{10}$ .

#### Example 7

Saitoella complicata IFO 10748 was aerobically cultured at 25° C. for 72 hours in 500 mL of a culture medium (pcp22

tone: 5 g. yeast extract: 3 g, malt extract: 3 g, glucose: 20 g/L, pH: 6.0). The obtained cells were disrupted for 2 times at 80 MPa of disruption pressure by a pressure homogenizer (manufactured by Launi Co.) sealed with nitrogen gas to obtain a cell-disrupted solution. The ratio of reduced coenzyme Q10 in the cell-disrupted solution was 97% relative to the entire coenzymes Q10 including oxidized coenzyme Q10. 200 mL of the cell-disrupted solution was mixed with isopropanel and n-hexane at the ratios shown in the first extraction section in the following Table 4 so as to adjust the total solvent amount to be 500 mL and the mixtures were stirred at 40° C. for 30 minutes to carry out the first extraction. After completion of the extraction, the resultants were kept standing for 10 minutes and the separated upper layers were collected. The volume ratios of the lower layers (residues) relative to the total solution amounts were defined as indexes of separability and shown as the interface positions in Table 4.

Furthermore, in order to carry out the second extraction, the solvent concentrations of the residual layers were measured and isopropanol and hexane were further added so as to keep the solvent ratios in the entire solutions be the ratios shown in the second extraction section in Table 4. The resulting solutions were stirred at 40° C. for 30 minutes. Then, the solutions were kept standing for 10 minutes and the upper layers were collected in the same manner as described above to determine the solvent concentrations of the residual layers. Isopropanol and hexane were added thereto so as to keep the solvent ratios in the entire solutions be the ratios shown in the third extraction section in Table 4, and the solutions were stirred at 25° C. for 30 minutes to carry out the third extraction.

The ratios of the amounts of reduced coenzyme Q10 contained in the collected upper layers of each of the first, second 35 and third steps relative to the amount of reduced coenzyme Q10 contained in the cell-disrupted solution or the extraction residue before the extraction were defined as the extraction ratios of reduced coenzyme Q10 in the respective steps. The calculation results are shown in Table 4. The integrated extraction ratios of reduced coenzyme Q10 in the second and third extraction steps are also shown. In any steps, the static separability was excellent and the integrated extraction ratio in the case where extraction was repeated for 3 times was as high as not less than 90% to show high recovery ratio. Particularly, in the case where the isopropanol concentration was 45 adjusted to be not less than 30%, the recovery ratio was as high as not less than 99%.

TABLE 4

					Extraction ratio (%)	
		Solvent ratio	vent ràtio (vol %)		Respective extraction	Integrated extraction
		Isopropanol	Hexane	position	ratio	ratio
ase I	First	18.8	52.7	0.492	73.6	73.6
	Second.	19:0	52,4	0.624	47.6	86.2
	Third	29.7	41.7	0.645	55.5	93.8
ase?	First	31.3	40.2	0.499	90.7	90.7
	Second	37.7	33.7	0.549	83.7	98.5
	Third	40.6	30.9	0.565	40.1	99.1
isc3	First	31.3	40.2	0.526	89.0	89.0
	Second	34.1	37.3	0.553	85.8	98.3
	Third	36.8	34.6	0.555	46.6	99.1
nse4	First	31.3	40.2	0.526	89.0	89.0
	Second	34.1	37.3	0.553	85.8	98.3
	Third	42.4	29.0	0.644	50.0	99.0

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					Extractio	n ratio (%)
		Solvent ratio	(101%)	Interface	Respective extraction	Integrated extraction
-		Isopropanol	Hexane	position	ratio	ratio
Case5	First Second Third First Second Third	31.3 40.1 40.7 31.3 40.1 45.8	40.2 31.4 30.7 40.2 31.4 25.7	0.526 0.595 0.593 0.526 0.595 0.653	89.0 88.1 45.3 89.0 88.1 40.7	89.0 98.6 99.1 89.0 98.6 99.0

#### Example 8

Saitoella complicata IFO 10748 was aerobically cultured at 25° C. for 72 hours in 750 L of a culture medium (peptone: 5 g. yeast extract: 3 g, malt extract: 3 g, glucose: 20 g/L, pH: 6.0). The obtained cells were disrupted for 2 times at 140 MPa 20 of disruption pressure by a pressure homogenizer (manufactured by Lanni Co.) sealed with nitrogen gas to obtain a cell-disrupted solution. The cell-disrupted solution was subjected to continuous extraction by a countercurrent 3-step continuous extraction apparatus shown in FIG. 1. The capac-25 ity of the stirring tank was 630 L and the capacity of the static separation tank was 200 L. The cell-disrupted solution was supplied to the first stirring tank and isopropanol and n-hexane were supplied to respective steps. The supply amount of the cell-disrupted solution was 2 L/min and the supply 36 amounts of isopropanol and n-hexane were adjusted to be 1.3 L/min for isopropanol and 3.7 L/min for n-hexane as the total of the supply amounts in respective steps. In this case, the solvent concentration in respective steps was properly adjusted so that the isopropanol concentration of 5 to 50 v/v % and the n-hexane concentration of 25 to 65 v/v % were kept. 35 The extraction temperature was 40° C. and the treatment duration was 6 hours. At the point after the lapse of 6 hours, the recovery ratio of reduced coenzyme Q10 extracted from the cell-disrupted solution was calculated on the basis of reduced coenzyme  $Q_{10}$  remaining in the extraction residue in the static separation tank in the third step to find the recovery ratio of 98.9%. The static separation was well carried out during the entire operation period and stable continuous extraction was possible.

### INDUSTRIAL APPLICABILITY

According to the processes of the present invention, reduced coenzyme Q10 can be produced cheaply on the industrial scale by considerably simple steps comprising culturing 50 microorganisms and recovering reduced coenzyme  $Q_{10}$ . In addition, oxidized coenzyme  $Q_{1D}$  can also be produced by simple processes.

The invention claimed is:

1. A process for producing on an industrial scale the oxi-55 dized coenzyme Q10 represented by the following formula:



65 which comprises culturing reduced coenzyme Q10-producing microorganisms in à culture medium containing

a carbon source, a nitrogen source, a phosphorus source and a micronutrient to obtain microbial cells containing reduced coenzyme  $Q_{10}$  at a ratio of not less than 70 mole % among the entire coenzymes Q10,

- disrupting the microbial cells to obtain reduced coenzyme Q10; and
- oxidizing thus-obtained reduced coenzyme Q10 to oxidized coenzyme  $Q_{16}$  and then extracting the oxidized coenzyme Q10 by an organic solvent under an inert gas atmosphere.

2. The process according to claim 1,

wherein the extraction of the oxidized coenzyme  $Q_{10}$  is carried out by using a hydrophilic organic solvent.

3. The process according to claim 1,

wherein the extraction of the oxidized coenzyme  $Q_{10}$  is carried out by using a hydrophobic organic solvent.

4. The process according to claim 1, wherein the reduced coenzyme Q10 is oxidized with an oxidizing agent.

5. The process according to claim 4, wherein the oxidizing agent is manganese dioxide.

6. The process according to claim 1, wherein the oxidized coenzyme Q10 is extracted by a continuous extraction.

7. The process according to claim 6, wherein the continuous extraction is a countercurrent multistage extraction.

8. The process according to claim 1, wherein the reduced coenzyme  $Q_{10}$  upon disrupting has a ratio of not less than 70 mole % among the entire coenzymes  $Q_{10}$  when measured under the condition that the reduced coenzyme  $Q_{10}$  is protected from an oxidation reaction.

9. The process according to claim 1, wherein the inert gas atmosphere comprises nitrogen gas.

10. The process according to claim 1, wherein the culture medium is at least 750 L.

11. A process for producing on an industrial scale the oxidized coenzyme Q10 represented by the following formula



which comprises culturing reduced coenzyme Q10-producing microorganisms in a culture medium containing a carbon source, a nitrogen source, a phosphorus source and a micronutrient to obtain microbial cells containing

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reduced coenzyme  $Q_{10}$  at a ratio of not less than 70 mole % among the entire coenzymes Q10,

extracting the reduced coenzyme  $Q_{10}$  by an organic solvent under an inert gas atmosphere, and

oxidizing the extracted reduced coenzyme Q10 to oxidized 5 coenzyme Q10.

12. The process according to claim 11,

wherein the extraction of the reduced coenzyme Q10 is carried out by using a hydrophilic organic solvent. The process according to claim 11,

wherein the extraction of the reduced coenzyme Q10 is carried out by using a hydrophobic organic solvent

14. The process according to claim 11, further comprising the step of disrupting the microbial cells.

15. The process according to claim 11, wherein the reduced 15 mula: coenzyme Q10 is oxidized with an oxidizing agent.

16. The process according to claim 15, wherein the oxidizing agent is manganese dioxide.

17. The process according to claim 11, wherein the reduced coenzyme Q10 is extracted by a continuous extraction. 20

18. The process according to claim 17, wherein the continuous extraction is a countercurrent multistage extraction.

19. The process according to claim 11, wherein the reduced coenzyme Q10 upon extracting has a ratio of not less than 70 mole % among the entire coenzymes Q10 when measured 25 under the condition that the reduced cocnzyme Q10 is protected from an oxidation reaction.

20. The process according to claim 11, wherein the inert gas atmosphere comprises nitrogen gas.

21. The process according to claim 11, wherein the culture 30 medium is at least 750 L.

22. A process for producing on an industrial scale the oxidized coenzyme  $Q_{10}$  represented by the following formula:



- which comprises culturing reduced cochzyme Q10-pro- 45 ducing microorganisms in a culture medium containing a carbon source, a nitrogen source, a phosphorus source and a micronutrient to obtain microbial cells containing reduced coenzyme Q10 at a ratio of not less than 70 mole % among the entire coenzymes Q10, 50
- disrupting the microbial cells to obtain reduced coenzyme,  $Q_{10}$ ; and
- oxidizing thus-obtained reduced coenzyme Q10 to oxidized coenzyme Q10 and then extracting the oxidized coenzyme Q10 by an organic solvent in a sealed tank. 23. The process according to claim 22,
- wherein the extraction of the oxidized coenzyme Q10 is carried out by using a hydrophilic organic solvent.
- 24. The process according to claim 22,
- wherein the extraction of the oxidized coenzyme  $Q_{10}$  is carried out by using a hydrophobic organic solvent. 25. The process according to claim 22, wherein the reduced
- coenzyme Q10 is oxidized with an oxidizing agent. 26. The process according to claim 25, wherein the oxidiz-

ing agent is manganese dioxide. 27. The process according to claim 22, wherein the oxi-

dized coenzyme Q10 is extracted by a continuous extraction.

28. The process according to claim 27, wherein the continuous extraction is a countercurrent multistage extraction. 29. The process according to claim 22, wherein the sealed

tank is scaled under an inert gas atmosphere. 30. The process according to claim 29, wherein the inert, gas atmosphere comprises nitrogen gas.

31. The process according to claim 22, wherein the culture medium is at least 750 L.

32. The process according to claim 22, wherein the reduced coenzyme Q10 upon disrupting has a ratio of not less than 70 10 mole % among the entire coenzymes Q10 when measured under the condition that the reduced coenzyme Q10 is pro-

tected from an oxidation reaction. 33. A process for producing on an industrial scale the

oxidized coenzyme Q10 represented by the following for-



- which comprises culturing reduced coenzyme Q10-producing microorganisms in a culture medium containing a carbon source, a nitrogen source, a phosphorus source. and a micronutrient to obtain microbial cells containing reduced coenzyme Q10 at a ratio of not less than 70 mole % among the entire coenzymes Q10:
- extracting the reduced coenzyme  $Q_{10}$  by an organic solvent in a sealed tank, and
- oxidizing the extracted reduced coenzyme Q10 to oxidized coenzyme Q<sub>10</sub>. 34. The process according to claim 33,

wherein the extraction of reduced coenzyme Q10 is carried out by using a hydrophilic organic solvent.

35. The process according to claim 33

wherein the extraction of the reduced coenzyme Q10 is carried out by using a hydrophobic organic solvent.

36. The process according to claim 33, further comprising disrupting the microbial cells.

- 37. The process according to claim 33, wherein the reduced coenzyme Q10 is oxidized with an oxidizing agent
- 38. The process according to claim 37. wherein the oxidizing agent is manganese dioxide.

39. The process according to claim 33, wherein the reduced coenzyme Q10 is extracted by a continuous extraction.

40. The process according to claim 39, wherein the continuous extraction is a countercurrent multistage extraction.

41. The process according to claim 33, wherein the sealed tank is sealed under a deoxygenized atmosphere.

42. The process according to claim 41, wherein the deoxygenized atmosphere comprises inert gas.

43. The process according to claim 41, wherein the deoxygenized atmosphere comprises nitrogen gas.

44. The process according to claim 33, wherein the culture medium is at least 750 L.

45. The process according to claim 33, wherein the reduced coenzyme Q10 upon extracting has a ratio of not less than 70 mole % among the entire coenzymes Q10 when measured under the condition that the reduced coenzyme Qip is protected from an oxidation reaction.

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# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 7,910,340 B2

 APPLICATION NO.
 : 11/981181

 DATED
 : March 22, 2011

 INVENTOR(S)
 : Kazuyoshi Yajima et al.

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In column 1, line 6; Change "si" to --is--

to

In claim 1, column 23, lines 57-65 Change





Signed and Sealed this Thirty-first Day of May, 2011

David J. Kappos Director of the United States Patent and Trademark Office

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# CERTIFICATE OF CORRECTION (continued) U.S. Pat. No. 7,910,340 B2



# 19 of 19

# KAN-CDCAL-240423

Page 2 of 2

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Kazuyoshi YAJIMA et al.

Application Number: 11/981,181

Filed: October 31, 2007

For: PROCESSES FOR PRODUCING COENZYME Q10

Attorney Docket Number: 100462A Customer Number: 38834

# **RESPONSE TO RESTRICTION and SPECIES REQUIREMENTS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

April 19, 2010

Sir:

This paper is submitted in response to the Office Action dated March 22, 2010.

In the Office Action, restriction is required between Group I (Claims 77-102) and Group II (Claims 103-109).

Applicants hereby elect the subject matter of Group II (Claims 103-109) for prosecution in this application. In addition, applicant elects Species *Agrobacterium* without traverse. Claims 103-109 read on the elected species. Applicant's rights to the filing of a divisional application directed to the non-elected subject matter under 35 U.S.C. §120 and 35 U.S.C. §121 are retained.

Art Unit: 1657

An Onn. 103/

Examiner: Vera Afremova

Confirmation Number: 7514

Application No. 11/981,181 Art Unit: 1657

If this paper is not timely filed, Applicants respectfully petition for an appropriate extension of time. The fees for such an extension or any other fees that may be due with respect to this paper may be charged to Deposit Account No. 50-2866.

### Respectfully submitted,

### WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

/Stephen G. Adrian/ Stephen G. Adrian Attorney for Applicants Registration No. 32,878 Telephone: (202) 822-1100 Facsimile: (202) 822-1111

SGA/arf

			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	TMENT OF COMMERCI Trademark Office OR PATENTS 913-1450
CATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
/981,181	10/31/2007	Kazuyoshi Yajima	5404/191	7514
0678 7 CONNOLLY B 875 EYE STRE	<sup>590</sup> 03/22/2010 OVE LODGE & HUTZ L EET, N.W.	EXAMINER AFREMOVA, VERA-		
VASHINGTON	I, DC 20006		ART UNIT	PAPER NUMBER
			1657	
			MAIL DATE	DELIVERY MODE

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The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
	11/981.181	YAJIMA ET AL.					
Office Action Summary	Examiner	Art Unit					
	Vera Afremova	1657					
The MAILING DATE of this communication app	pears on the cover sheet with the	correspondence address					
Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	Y IS SET TO EXPIRE <u>1</u> MONTH ATE OF THIS COMMUNICATIO 36(a). In no event, however, may a reply be t will apply and will expire SIX (6) MONTHS fror b, cause the application to become ABANDON g date of this communication, even if timely file	I(S) OR THIRTY (30) DAYS, IN. imely filed In the mailing date of this communication. ED (35 U.S.C. § 133). Ed, may reduce any					
Status							
1) Responsive to communication(s) filed on 01 N	ovember 2007.						
2a) This action is <b>FINAL</b> . 2b) This	action is non-final.						
3) Since this application is in condition for allowar	nce except for formal matters, pr	osecution as to the merits is					
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>77-109</u> is/are pending in the application	on.						
4a) Of the above claim(s) is/are withdrav	wn from consideration.						
5) Claim(s) is/are allowed.							
6) Claim(s) is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) <u>77-109</u> are subject to restriction and/c	or election requirement.						
Application Papers							
9)☐ The specification is objected to by the Examine	r.						
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to by the	Examiner.					
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Example.	aminer. Note the attached Office	Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a	)-(d) or (f).					
1. Certified copies of the priority documents	s have been received.						
2. Certified copies of the priority documents	have been received in Applicati	ion No					
3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a list of	of the certified copies not receive	ed.					
Attachment(s)							
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)					
2) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of Informal P	atent Application					
Paper No(s)/Mail Date	6) 🗌 Other:						
J.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office Act	tion Summary Pa	rt of Paper No /Mail Date 20100303					

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#### **DETAILED ACTION**

Claims 77-109 are pending and subject to restriction requirement.

### **Restrictions**

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 77-102, drawn to a process for producing reduced coenzyme Q10, classified in class 435, subclass 156, for example.
- II. Claims 103-109, drawn to a process for producing oxidized coenzyme Q10, classified in class 435, subclass 156, for example.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different designs, modes of operation, and effects (MPEP § 802.01 and § 806.06). In the instant case, the different inventions are directed to two processes that do not share process steps or end points.

Restriction for examination purposes as indicated is proper because all these inventions listed in this action are independent or distinct for the reasons given above <u>and</u> there would be a serious search and examination burden if restriction were not required because one or more of the following reasons apply:

- (a) the inventions have acquired a separate status in the art in view of their different classification;
- (b) the inventions have acquired a separate status in the art due to their recognized divergent subject matter;

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- (c) the inventions require a different field of search (for example, searching different classes/subclasses or electronic resources, or employing different search queries);
  (d) the prior art applicable to one invention would not likely be applicable to another invention;
- (e) the inventions are likely to raise different non-prior art issues under 35 U.S.C. 101 and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected invention.

If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either

instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

# Election of species

This application contains claims directed to the following patentably distinct species that are microorganisms of claim 88 and of claim 109. The species are independent or distinct because claims to the different species recite the mutually exclusive characteristics of such species that are biologically distinct microorganisms. In addition, these biological species are not obvious variants of each other based on the current record.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species (one microbial group or genus of claim 88 or claim 109) for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 1-87 and 89-108 are generic with regard to the microbial species/genus.

There is an examination and search burden for these patentably distinct species due to their mutually exclusive characteristics. The species require a different field of search (e.g., searching different classes/subclasses or electronic resources, or employing different search queries); and/or the prior art applicable to one species would not likely be applicable to another species; and/or the species are likely to raise different non-prior art issues under 35 U.S.C. 101 and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected species, including

any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

The election of the species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected species.

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the species unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other species.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vera Afremova whose telephone number is (571) 272-0914. The examiner can normally be reached from Monday to Friday from 9.30 am to 6.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon P. Weber, can be reached at (571) 272-0925.

The fax phone number for the TC 1600 where this application or proceeding is assigned is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Technology center 1600, telephone number is (571) 272-1600.

Vera Afremova

March 3, 2010

/Vera Afremova/

Primary Examiner, Art Unit 1657