

**CERTIFICATE OF COMPLIANCE**

No. 19-

DARRU K. "KEN" HSU, individually, and as trustee of THE DARRU K. HSU  
and GINA T. HSU LIVING TRUST U/A 05/05/03, individually and on Behalf of  
All Others Similarly Situated,

*Petitioner,*

v.

UBS FINANCIAL SERVICES, INC.

*Respondent.*

As required by Supreme Court Rule 33.1(h), I certify that the revised  
Petition for Writ of Certiorari contains 6968 words, excluding the  
parts of the petition that are exempted by Supreme Court Rule 33.1(d).

I declare under penalty of perjury that the forgoing is true and correct  
in compliance with 28 U.S.C. § 1746.

Executed on February 9, 2020



Darru K. Hsu

*Pro se*

s/ Daru K. Hsu

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