

CERTIFICATE OF COMPLIANCE

No. 19-

DARRU K. "KEN" HSU, individually, and as trustee of THE DARRU K. HSU and GINA T. HSU LIVING TRUST U/A 05/05/03, individually and on Behalf of All Others Similarly Situated,

Petitioner,

v.

UBS FINANCIAL SERVICES, INC.

Respondent.

As required by Supreme Court Rule 33.1(h), I certify that the revised Petition for Writ of Certiorari contains 6968 words, excluding the parts of the petition that are exempted by Supreme Court Rule 33.1(d).

I declare under penalty of perjury that the forgoing is true and correct in compliance with 28 U.S.C. § 1746.

Executed on February 9, 2020



Darru K. Hsu
Pro se
s/ Darru K. Hsu
5538 Morningside Dr.
San Jose, CA 95138