

UNITED STATES COURT OF APPEALS

FOR THE TENTH CIRCUIT

FILED  
United States Court of Appeals  
Tenth Circuit

June 20, 2018

Elisabeth A. Shumaker  
Clerk of Court

KEITH CLAYTON BROOKS, JR.,

Plaintiff - Appellant,

v.

DAVID GABRIEL, Captain CDOC,  
individually and in his official capacity;  
MATHILL-AARON, Sergeant CDOC,  
individually and in her official capacity;  
JAMES GILLIS, Lieutenant CDOC,  
individually and in his official capacity;  
ANGEL MEDINA, Warden CDOC,  
individually and in his official capacity;  
JULI JOFFE, CDOC, individually and in  
her official capacity; JACKSON,  
Lieutenant, individually and in his official  
capacity; AMY COSNER, Legal Assistant  
CDOC, individually and in her official  
capacity,

Defendants - Appellees.

No. 17-1358  
(D.C. No. 1:13-CV-02213-CMA-KMT)  
(D. Colo.)

ORDER AND JUDGMENT\*

Before **BRISCOE**, **MATHESON**, and **EID**, Circuit Judges.

\* After examining the briefs and appellate record, this panel has determined unanimously that oral argument would not materially assist in the determination of this appeal. *See* Fed. R. App. P. 34(a)(2); 10th Cir. R. 34.1(G). The case is therefore ordered submitted without oral argument. This order and judgment is not binding precedent, except under the doctrines of law of the case, res judicata, and collateral estoppel. It may be cited, however, for its persuasive value consistent with Fed. R. App. P. 32.1 and 10th Cir. R. 32.1.

"A"

Appx. 1

Keith Clayton Brooks, Jr., a Colorado inmate, brought this pro se civil rights action against several prison officials whom he claims violated his constitutional rights. After dismissing two claims as legally frivolous, the district court referred the case to a magistrate judge, who recommended dismissal of most of the remaining claims. Without objection from Mr. Brooks, the district court adopted that recommendation in part, dismissed the majority of the claims, and later granted summary judgment on the rest. The court also denied two post-judgment motions for reconsideration filed by Mr. Brooks, who now appeals. We have jurisdiction under 28 U.S.C. § 1291 and affirm.

## I

Mr. Brooks alleged that prison officials at Colorado's Limon Correctional Facility wrongly identified him as a gang member or "security threat group" (STG), R. at 28 (internal quotation marks omitted), twice denied him lunch for holding the dining-hall door open for other inmates, and improperly placed him in segregation. He asserted these actions were in response to his efforts to remove the STG designation from his record, administrative grievances that he filed, and a state court action that he initiated to contest grievance restrictions imposed against him. Mr. Brooks claimed the retaliatory conduct violated his First, Sixth, Eighth, and Fourteenth Amendment rights.

On initial screening, the district court dismissed two claims as legally frivolous. The court then referred the case to a magistrate judge who, on August 14, 2014, recommended that the bulk of the remaining claims be dismissed. Mr. Brooks and defendants sought extensions of time to object to the magistrate judge's report and recommendation, but Mr. Brooks never filed his objections. Instead, the day after the

extended deadline expired, he requested another extension. The district court denied his request, and, on September 25, 2014, adopted the recommendation in part and dismissed most of the pending claims.<sup>1</sup>

At that point, the dismissal left three First Amendment retaliation claims pending against Sergeant Mathill, Captain Gabriel, and Lieutenant Gillis. These defendants moved for summary judgment, and, on August 19, 2016, the magistrate judge recommended that their motion be granted. Again, Mr. Brooks failed to timely object, and when the time for doing so expired, the district court adopted the recommendation and granted summary judgment. Final judgment entered on September 7, 2016.

Two days later, however, on September 9, 2016, Mr. Brooks moved the district court for an extension of time to object to the magistrate judge's August 19, 2016 report and recommendation. Then, on September 30, 2016, he filed objections and also filed a Fed. R. Civ. P. 59(e) motion for reconsideration of the entry of summary judgment. The district court granted the extension and accepted the objections as timely. On August 17, 2017, Mr. Brooks filed renewed objections to the magistrate judge's August 19, 2016 report and recommendation, as well as a renewed Rule 59(e) motion from the entry of summary judgment. On September 18, 2017, the court considered Mr. Brooks' objections, confirmed on de novo review that summary judgment was proper, and denied the Rule 59(e) motions. Mr. Brooks subsequently filed a notice of appeal on October 10, 2017.

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<sup>1</sup> Mr. Brooks filed an interlocutory appeal from the denial of his request for an extension, but we dismissed for lack of jurisdiction. *See Brooks v. Medina*, No. 14-1411 (10th Cir. Dec. 10, 2014).

## II

### *A. Scope of Appeal*

We first define the scope of this appeal. Mr. Brooks' notice of appeal does not designate the final judgment for review. *See Sylvia v. Wisler*, 875 F.3d 1307, 1323 (10th Cir. 2017) (“[A] notice of appeal which names the final judgment is sufficient to support review of all earlier orders that merge in the final judgment.” (internal quotation marks omitted)). Rather, the notice of appeal lists only two orders: the “order granting summary judgment” and the “order of dismissal/denying reconsideration.” R. at 574 (capitalization omitted). The former refers to the September 7, 2016 order granting summary judgment, and the latter refers to the September 18, 2017 order denying his Rule 59(e) motions for reconsideration.

In his briefs, Mr. Brooks does not challenge the district court's initial dismissal of two claims as legally frivolous, but he does contest all other dispositive rulings, including the orders granting summary judgment and denying reconsideration, as well as the earlier September 25, 2014 dismissal order, which adopted in part the magistrate judge's August 14, 2014 recommendation to dismiss many of his claims. However, because Mr. Brooks did not designate the September 25, 2014 order in his notice of appeal, and did not object to the magistrate judge's underlying August 14, 2014 report and recommendation, we will not review the claims adjudicated by the September 25, 2014 order.

### *1. Notice of Appeal*

A notice of appeal must “designate the judgment, order, or part thereof being appealed.” Fed. R. App. P. 3(c)(1)(B). “We lack jurisdiction to review orders not

identified in the notice of appeal or its functional equivalent.” *Lebahn v. Owens*, 813 F.3d 1300, 1304 n.2 (10th Cir. 2016) (internal quotation marks omitted). Although a technical error in designating the judgment appealed from should not defeat an appeal, the appeal must be otherwise proper, we must be able to infer the intent to appeal, and there must be no prejudice to the opposing party. *See Sines v. Wilner*, 609 F.3d 1070, 1074 (10th Cir. 2010); *see also Artes-Roy v. City of Aspen*, 31 F.3d 958, 961 n.5 (10th Cir. 1994) (“[A]n appeal from the denial of a Rule 59 motion will be sufficient to permit consideration of the merits of the summary judgment, if the appeal is otherwise proper, the intent to appeal from the final judgment is clear, and the opposing party was not misled or prejudiced.” (internal quotation marks omitted)).

Mr. Brooks failed to designate the September 25, 2014 interlocutory dismissal order in his notice of appeal, but even if he intended to appeal that order or it merged with the orders granting summary judgment and denying Rule 59(e) relief, we still could not review the September 25, 2014 dismissal order because Mr. Brooks failed to object to the magistrate judge’s underlying report and recommendation dated August 14, 2014.

## *2. Firm Waiver Rule*

Under our firm waiver rule, a litigant’s failure to object to the magistrate judge’s report and recommendation “waives appellate review of both factual and legal questions.” *Casanova v. Ulibarri*, 595 F.3d 1120, 1123 (10th Cir. 2010) (internal quotation marks omitted). To preserve an issue, “a party’s objections to the magistrate judge’s report and recommendation must be both timely and specific.” *United States v. One Parcel of Real Prop.*, 73 F.3d 1057, 1060 (10th Cir. 1996). The firm waiver “rule

does not apply, however, when (1) a pro se litigant has not been informed of the time period for objecting and the consequences of failing to object, or when (2) the interests of justice require review.” *Morales-Fernandez v. INS*, 418 F.3d 1116, 1119 (10th Cir. 2005) (italics and internal quotation marks omitted).

The first exception does not apply because the magistrate judge informed the parties of the time for objecting and the consequences of failing to do so. *See* R. at 183-84. We need not consider the second exception because Mr. Brooks offers no reason why the interests of justice require that we review his dismissed claims. *See Bronson v. Swensen*, 500 F.3d 1099, 1104 (10th Cir. 2007) (“[W]e routinely have declined to consider arguments that are not raised, or are inadequately presented, in an appellant’s opening brief.”). Although we liberally construe Mr. Brooks’ pro se materials, “this court has repeatedly insisted that pro se parties follow the same rules of procedure that govern other litigants.” *Garrett v. Selby Connor Maddux & Janer*, 425 F.3d 836, 840 (10th Cir. 2005) (brackets and internal quotation marks omitted). Mr. Brooks’ failure to object to the magistrate judge’s August 14, 2014 report and recommendation waived review of all claims adjudicated by the district court’s September 25, 2014 order adopting that recommendation in part and dismissing the relevant claims.

#### *B. Merits*

This leaves the district court’s grant of summary judgment and denial of reconsideration on three First Amendment retaliation claims against Sergeant Mathill, Captain Gabriel, and Lieutenant Gillis. “We review the district court’s summary judgment order de novo, and apply the same legal standards as the district court.” *Doe v.*

*City of Albuquerque*, 667 F.3d 1111, 1122 (10th Cir. 2012). “Summary judgment should be granted if there is no genuine issue as to any material fact and the movant is entitled to judgment as a matter of law.” *Id.* (citing Fed. R. Civ. P. 56(a)). We review the denial of a Rule 59(e) motion for an abuse of discretion. *Phelps v. Hamilton*, 122 F.3d 1309, 1324 (10th Cir. 1997).

“[P]rison officials may not retaliate against or harass an inmate because of the inmate’s exercise of his constitutional rights.” *Peterson v. Shanks*, 149 F.3d 1140, 1144 (10th Cir. 1998) (internal quotation marks omitted). At the same time, “courts are ill equipped to deal with the increasingly urgent problems of prison administration and reform,” so “when a prison regulation impinges on inmates’ constitutional rights, the regulation is valid if it is reasonably related to legitimate penological interests.” *Gee v. Pacheco*, 627 F.3d 1178, 1187 (10th Cir. 2010) (internal quotation marks omitted). In the First Amendment context, a retaliation claim requires an inmate to establish:

- (1) that [he] was engaged in constitutionally protected activity;
- (2) that the defendant’s actions caused [him] to suffer an injury that would chill a person of ordinary firmness from continuing to engage in that activity; and
- (3) that the defendant’s adverse action was substantially motivated as a response to [the inmate’s] exercise of constitutionally protected conduct.

*Shero v. City of Grove*, 510 F.3d 1196, 1203 (10th Cir. 2007). This last element requires the inmate to “prove that but for the retaliatory motive, the incidents to which he refers, including the disciplinary action, would not have taken place.” *Peterson*, 149 F.3d at 1144 (internal quotation marks omitted).

Applying these principles, the district court granted summary judgment on the three surviving retaliation claims because Mr. Brooks failed to provide evidence that, but

for a retaliatory motive, defendants would not have taken the allegedly adverse actions. We affirm for substantially the same reasons stated by the district court, which analyzed the claims as follows:

*1. Sergeant Mathill & Captain Gabriel*

According to the record, Mr. Brooks filed a state action on September 28, 2011, challenging prison officials' imposition of grievance restrictions against him. The next day, on September 29, Sergeant Mathill denied him a lunch for holding the prison dining-hall door open for other inmates. Sergeant Mathill denied him a second lunch on October 4, after he again insisted on holding the dining-hall door open for other inmates.

Mr. Brooks filed an emergency grievance following the first lunch incident, but Captain Gabriel denied it, and, after the second lunch incident, Mr. Brooks was placed in segregation. Based on these events, Mr. Brooks claimed that Sergeant Mathill and Captain Gabriel retaliated for exercising his First Amendment rights.

The district court properly granted summary judgment on this claim. With regard to Sergeant Mathill, the court recognized there was no evidence that, but for a retaliatory motive, he would have received his lunches. Instead, as the court explained, the record indicates that Mr. Brooks was denied two lunches because he violated the prison's posted operational rules by holding the lunch door open rather than "proceed[ing] directly to the serving line window," R. at 432. This regulation, the court correctly concluded, is related to a legitimate penological interest in suppressing gang communications.

Regarding Captain Gabriel, the court correctly determined that Mr. Brooks failed to provide evidence indicating that, but for a retaliatory motive, Captain Gabriel would



not have denied his emergency grievance. Captain Gabriel's response to the grievance stated it would "be routed through normal grievance channels" because, contrary to the standards for implementing the emergency grievance procedures, it failed "to articulate . . . any indication of potential risk to [his] life or safety or irreparable harm to [his] health." *Id.* at 433. Although Mr. Brooks also alleged that Captain Gabriel retaliated by confining him in segregation, the district court recognized there was no evidence that Captain Gabriel personally participated in the decision to place him in segregation. *See Schneider v. City of Grand Junction Police Dep't*, 717 F.3d 760, 768 (10th Cir. 2013) ("Individual liability under [42 U.S.C.] § 1983 must be based on the defendant's personal involvement in the alleged constitutional violation." (brackets and internal quotation marks omitted)).

## 2. Sergeant Mathill

Mr. Brooks also claimed that Sergeant Mathill retaliated by filing a disciplinary report for disobeying a lawful direct order. He asserted the disciplinary report was "for complying with her order to return to [his] unit" after the lunch incidents, and because he had filed grievances and made "verbal protests" against her. *R.* at 33-34. The district court correctly determined, however, that Mr. Brooks failed to cite any evidence suggesting that, but for a retaliatory motive, Sergeant Mathill would not have filed the disciplinary report. Rather, as the court observed, the record indicates that the disciplinary report was a direct result of Mr. Brooks' non-compliance with the posted operational rules. *See id.* at 429, para. 24-25 (Mathill Aff. indicating she wrote the disciplinary report resulting in Brooks' segregation based on the lunch incidents).

Mr. Brooks suggested the temporal proximity between his grievances, the state-court action, and the disciplinary report demonstrated a causal connection, but the district court properly rejected that argument. *See Trant v. Oklahoma*, 754 F.3d 1158, 1170 (10th Cir. 2014) (recognizing under the same First Amendment test applicable here that “temporal proximity between [protected activity] and the alleged retaliatory conduct, without more, does not allow for an inference of a retaliatory motive”).

### 3. *Lieutenant Gillis*

Finally, Mr. Brooks alleged that he attempted to remove the STG designation from his inmate file but Lieutenant Gillis impeded his efforts. He claimed that once he succeeded in getting the STG designation removed from his record, Lieutenant Gillis retaliated by filing a false disciplinary report. The report charged Mr. Brooks with making threats in a letter that was discovered with his property, although Mr. Brooks claimed the charge was pretext for retaliation because he filed prison grievances and contested the STG designation.

The district court recognized that the record does not support Mr. Brooks’ claim. The court noted that Lieutenant Gillis helped Mr. Brooks successfully remove the STG designation from his record. Yet afterwards, another officer gave Lieutenant Gillis a letter found in Mr. Brooks’ property. The letter stated, in part: “I’m going to fight the police just as hard as I’m gonna fight you.” R. at 436, para. 14; *see id.* at 438 (disciplinary report documenting contents of letter). Lieutenant Gillis interpreted the letter as a threat and wrote a disciplinary report, choosing the most appropriate charge available, “threats,” *id.* at 438. Given the language in the letter and the absence of

countervailing evidence, the district court correctly concluded that Mr. Brooks failed to show that, but for a retaliatory motive, Lieutenant Gillis would not have written the disciplinary report. Instead, as the court observed, Lieutenant Gillis wrote the report based on legitimate penological interests in securing the prison and suppressing gang activity.

As for the denial of relief under Rule 59(e), the foregoing discussion demonstrates that Lieutenant Gillis and the other defendants were entitled to summary judgment on these claims. It follows, then, that the district court did not abuse its discretion in denying reconsideration, particularly where Mr. Brooks identified no proper basis for granting relief under Rule 59(e). *See Servants of Paraclete v. Does*, 204 F.3d 1005, 1012 (10th Cir. 2000) (“Grounds warranting a motion to reconsider include (1) an intervening change in the controlling law, (2) new evidence previously unavailable, and (3) the need to correct clear error or prevent manifest injustice.”).

### III

The district court’s judgment is affirmed. Mr. Brooks’ motion to proceed on appeal without prepayment of costs and fees is granted. The relevant statute, 28 U.S.C. § 1915(a)(1), does not permit litigants to avoid payment of filing and docketing fees, only *prepayment* of those fees. Although we have disposed of this matter on the merits,

Mr. Brooks remains obligated to pay all filing and docketing fees. He is directed to pay the fees in full to the Clerk of the District Court for the District of Colorado.

Entered for the Court

Mary Beck Briscoe  
Circuit Judge

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO  
Judge Christine M. Arguello**

Civil Action No. 13-cv-02213-CMA-KMT

KEITH CLAYTON BROOKS, JR.,

Plaintiff,

v.

DAVID GABRIEL, Captain CDOC, Individually and in his Official Capacity,  
TRISHA MATHILL-AARON, individually and in her official capacity as Sergeant, CDOC,  
JAMES GILLIS, Lieutenant CDOC, Individually and in his Official Capacity,

Defendants.

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**ORDER ADOPTING AND AFFIRMING AUGUST 19, 2016  
RECOMMENDATION OF UNITED STATES MAGISTRATE JUDGE**

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This matter is before the Court on the Recommendation by United States Magistrate Judge Kathleen M. Tafoya that Defendants' Renewed Motion for Summary Judgment (Doc. # 173) be granted. (Doc. # 181.) The Recommendation is incorporated herein by reference. See 28 U.S.C. § 636(b)(1)(B); Fed. R. Civ. P. 72(b).

On July 20, 2016, Defendants filed a Renewed Motion for Summary Judgment pursuant to Fed. R. Civ. P. 56. (Doc. # 173.)<sup>1</sup> The Motion was thereafter referred to Magistrate Judge Tafoya pursuant to the Order Referring Case dated November 11, 2013 (Doc. # 16) and the Memorandum dated July 21, 2016 (Doc. # 174). Plaintiff's

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<sup>1</sup> This Court denied without prejudice Defendants' previously-filed Motion for Summary Judgment for Defendants' failure to comply with D.C.COLO.LCivR 7.1(e) and CMA Civ. Practice Standard 7.1E(d). (Doc. # 172.) The Court allowed Defendants to file a renewed motion for summary judgment that complied with the rules, specifically as to their Statement of Undisputed Material Facts and references to the record in their Argument. (*Id.*)

"B"

Appx 13

response to the Motion was included as an attachment to his Motion for Permission to File Oversize Reply to Defendant's [sic] Motion for Summary Judgment (Doc. # 161), which this Court granted on October 13, 2015 (Doc. # 163). Defendant filed no reply.

On August 19, 2016, Magistrate Judge Tafoya issued her Recommendation that Defendants' Motion be granted. (Doc. # 181.) The Recommendation advised the parties that specific written objections were due within fourteen (14) days after being served with a copy of the Recommendation. (*Id* at 18.) Despite that advisement, no objections were lodged by either party.

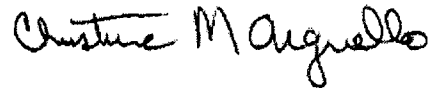
"In the absence of timely objection, the district court may review a magistrate [judge's] report under any standard it deems appropriate." *Summers v. Utah*, 927 F.2d 1165, 1167 (10th Cir. 1991) (citing *Thomas v. Arn*, 474 U.S. 140, 150 (1985) (stating that "[i]t does not appear that Congress intended to require district court review of a magistrate's factual or legal conclusions, under a *de novo* or any other standard, when neither party objects to those findings"))).

The Court has reviewed all relevant pleadings concerning Defendants' Renewed Motion for Summary Judgment. Based on this review, the Court concludes that Magistrate Judge Tafoya's thorough and comprehensive analyses and recommendations are correct and that "there is no clear error on the face of the record." Fed. R. Civ. P. 72, advisory committee's note. Therefore, the Court ADOPTS the Recommendation of Magistrate Judge Tafoya as the findings and conclusions of this Court.

Accordingly, it is ORDERED that the Recommendation of the United States Magistrate Judge (Doc. # 181) is AFFIRMED and ADOPTED. It is FURTHER ORDERED that Defendants' Renewed Motion for Summary Judgment (Doc. # 173) is GRANTED. It is FURTHER ORDERED that this case is DISMISSED WITH PREJUDICE.

DATED: September 7, 2016

BY THE COURT:



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CHRISTINE M. ARGUELLO  
United States District Judge

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO**

Civil Action No. 13-cv-02213-CMA-KMT

KEITH CLAYTON BROOKS, JR.,

Plaintiff,

v.

DAVID GABRIEL, Captain CDOC, Individually and in his Official Capacity,  
TRISHA MATHILL-AARON, individually and in her official capacity as Sergeant, CDOC,  
JAMES GILLIS, Lieutenant CDOC, Individually and in his Official Capacity,

Defendants.

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**FINAL JUDGMENT**

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In accordance with the orders filed during the pendency of this case, and pursuant to Fed. R. Civ. P. 58(a), the following Judgment is hereby entered.

Pursuant to the **Order Adopting and Affirming August 19, 2016 Recommendation of United States Magistrate Judge [#182]** entered by Judge Christine M. Arguello on September 7, 2016; it is

ORDERED that this case is DISMISSED WITH PREJUDICE.

DATED at Denver, Colorado, this 7<sup>th</sup> day of September, 2016.

FOR THE COURT:

Jeffrey P. Colwell, Clerk

By: s/ Kathleen Finney  
Kathleen Finney  
Deputy Clerk

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UNITED STATES COURT OF APPEALS  
FOR THE TENTH CIRCUIT

FILED  
United States Court of Appeals  
Tenth Circuit

August 3, 2018

Elisabeth A. Shumaker  
Clerk of Court

KEITH CLAYTON BROOKS, JR.,

Plaintiff - Appellant,

v.

No. 17-1358

DAVID GABRIEL, Captain CDOC,  
individually and in his official capacity, et  
al.,

Defendants - Appellees.

ORDER

Before **BRISCOE**, **MATHESON**, and **EID**, Circuit Judges.

Appellant's petition for rehearing is denied.

The petition for rehearing en banc was transmitted to all of the judges of the court who are in regular active service. As no member of the panel and no judge in regular active service on the court requested that the court be polled, that petition is also denied.

Entered for the Court

*Elisabeth A. Shumaker*

ELISABETH A. SHUMAKER, Clerk

"C"

Appx 17

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO

Civil Action No. 13-cv-02213-CMA-KMT

KEITH CLAYTON BROOKS, JR.,

Plaintiff,

v.

ANGEL MEDINA, individually and in his official capacity as Warden, CDOC,  
DAVID GABRIEL, individually and in his official capacity as Captain, CDOC,  
MATHILL-AARON, individually and in her official capacity as Sergeant, CDOC  
SHIFT COMMANDER 1, whose true name is unknown, individually and in their official  
capacity,

JULIE JOFFE, individually and in his official capacity as Sergeant, CDOC,  
JACKSON, individually and in his official capacity as Lieutenant, CDOC,  
JAMES GILLIS, individually and in his official capacity as Lieutenant, CDOC,  
SHIFT COMMANDER 2, whose true name is unknown, individually and in their official  
capacity,

SHIFT COMMANDER 3, whose true name is unknown, individually and in their official  
capacity, and

AMY COSNER, individually and in his official capacity as Legal Assistant, CDOC,

Defendants.

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**RECOMMENDATION OF UNITED STATES MAGISTRATE JUDGE**

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**Magistrate Judge Kathleen M. Tafoya**

This case involves claims that Defendants violated Plaintiff's First and Fourteenth Amendment rights. This matter is before the court on "Defendants' Motion to Dismiss Pursuant to Fed. R. Civ. P. 12(b)(6) and 12(b)(1)" (Doc. No. 35 [Mot. Dismiss], filed January 24, 2014) and "Defendant Amy Cosner's Motion to Dismiss Pursuant to Fed. R. Civ. P. 12(b)(6)"<sup>1</sup> (Doc.

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<sup>1</sup> Defendant Cosner joins the Motion to Dismiss filed by the other defendants. Doc 61

"D"

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No. 42, filed February 18, 2014). Plaintiff filed his response to the motions to dismiss on March 11, 2014. (Doc. No. 48 [Resp. Mot. Dismiss].) Defendants did not file a reply.

Also before the court is Plaintiff's "Combined Objection to Dismissal of Claim IX and Motion Requesting Leave to File Second Amended Complaint" (Doc. No. 49 [Mot. Amend]), to which Defendants filed their response on April 14, 2014 (Doc. No. 56 [Resp. Mot. Amend]). Plaintiff did not file a reply.

These motions are ripe for recommendation and ruling.

#### STATEMENT OF THE CASE

Plaintiff is an inmate at the Kit Carson Correctional Facility ("KCCF"), in the Colorado Department of Corrections ("CDOC"). (Prisoner Compl. at 2 [Compl.] [filed October 8, 2013].) Seven claims remain in this case. (*See* Doc. No. 13.) Claims One, Two, Three, Four, Five, and Seven concern the alleged retaliatory actions of prison officials in response to the exercise of Plaintiff's First Amendment rights. (*See* Compl.) Claim Eight asserts Plaintiff was deprived of meaningful access to the courts in violation of the First and Sixth Amendments. (*See id.*)<sup>2</sup> Plaintiff seeks "substantial monetary compensation, nominal, punitive and exemplary damages." (*Id.* at 21.)

Defendants move to dismiss Plaintiff's Amended Complaint on the bases that (1) Plaintiff's claims for monetary damages against the defendants in their official capacities are barred; (2) Plaintiff's claims for compensatory and punitive damages fail; (3) Plaintiff fails to state a claim upon which relief may be granted; (4) Plaintiff's Claim Eight is barred by the

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<sup>2</sup> The court will address in detail Plaintiff's claims below.

statute of limitations; and (4) the defendants are entitled to qualified immunity in their individual capacities. (See Mot.)

## STANDARD OF REVIEW

### ***1. Pro Se Plaintiff***

Plaintiff is proceeding *pro se*. The court, therefore, “review[s] his pleadings and other papers liberally and hold[s] them to a less stringent standard than those drafted by attorneys.” *Trackwell v. United States*, 472 F.3d 1242, 1243 (10th Cir. 2007) (citations omitted). See also *Haines v. Kerner*, 404 U.S. 519, 520-21 (1972) (holding allegations of a *pro se* complaint “to less stringent standards than formal pleadings drafted by lawyers”). However, a *pro se* litigant’s “conclusory allegations without supporting factual averments are insufficient to state a claim upon which relief can be based.” *Hall v. Bellmon*, 935 F.2d 1106, 1110 (10th Cir. 1991). A court may not assume that a plaintiff can prove facts that have not been alleged, or that a defendant has violated laws in ways that a plaintiff has not alleged. *Associated Gen. Contractors of Cal., Inc. v. Cal. State Council of Carpenters*, 459 U.S. 519, 526 (1983). See also *Whitney v. New Mexico*, 113 F.3d 1170, 1173–74 (10th Cir. 1997) (court may not “supply additional factual allegations to round out a plaintiff’s complaint”); *Drake v. City of Fort Collins*, 927 F.2d 1156, 1159 (10th Cir. 1991) (the court may not “construct arguments or theories for the plaintiff in the absence of any discussion of those issues”). The plaintiff’s *pro se* status does not entitle him to application of different rules. See *Montoya v. Chao*, 296 F.3d 952, 957 (10th Cir. 2002).

### ***2. Lack of Subject Matter Jurisdiction***

Federal Rule of Civil Procedure 12(b)(1) empowers a court to dismiss a complaint for lack of subject matter jurisdiction. Fed. R. Civ. P. 12(b)(1). Dismissal under Rule 12(b)(1) is

**Additional material  
from this filing is  
available in the  
Clerk's Office.**