IN THE

Supreme Court of the United States

RAYMOND J. LUCIA,

Petitioner,

v.

SECURITIES AND EXCHANGE COMMISSION.

Respondent.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA

BRIEF OF DAVID ZARING AS AMICUS CURIAE IN SUPPORT OF RESPONDENT

CERTIFICATE OF COMPLIANCE

As required by Supreme Court Rule 33.1(h), I, Katharine M. Mapes, a member of the bar of this Court, certify that the accompanying *Amicus Curiae* Brief contains 5,470 words, excluding the parts of the document that are exempted by the Supreme Court Rule 33.1(d).

Executed on April 2, 2018

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