IN THE

Supreme Court of the United States

BARCLAYS PLC, ET AL.,

Petitioners,

v.

JOSEPH WAGGONER, ET AL.,

Respondents.

ON PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT

CERTIFICATE OF COMPLIANCE

As required by Supreme Court Rule 33.1(h), I, Joshua M. Koppel, a member of the bar of this Court, certify that the accompanying Brief for Former SEC Officials and Law Professors as Amicus Curiae in Support of Petitioners contains 4,483 words, excluding the parts of the document that are exempted by Supreme Court Rule 33.1(d).

Executed on March 30, 2018.

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