

No. 17-1077  
IN THE SUPREME COURT OF THE UNITED STATES

---

FRANCIS V. LORENZO, PETITIONER

v.

SECURITIES AND EXCHANGE COMMISSION

---

**CERTIFICATE OF SERVICE**

It is hereby certified that all parties required to be served have been served with copies of the **BRIEF FOR THE RESPONDENT**, via e-mail and first-class mail, postage prepaid, this **5<sup>TH</sup> day of October, 2018**.

**[See Attached Service List]**

As required by Supreme Court Rule 33.1(h), I certify that the document contains **10,665** words, excluding the parts of the document that are exempted by Supreme Court Rule 33.1(d). I declare under penalty of perjury that the foregoing is true and correct.  
Executed on **October 5, 2018**.

---

Noel J. Francisco  
Solicitor General  
Counsel of Record  
Department of Justice  
Washington, D.C. 20530-0001  
(202) 514-2217

October 5, 2018

Due to the continuing delay in receiving incoming mail at the Department of Justice, in addition to mailing your brief via first-class mail, we would appreciate a fax or email copy of your brief. If that is acceptable to you, please fax your brief to Charlene Goodwin, Supervisor, Case Management, Office of the Solicitor General, at (202) 514-8844, or email at [SupremeCtBriefs@USDOJ.gov](mailto:SupremeCtBriefs@USDOJ.gov). Ms. Goodwin's phone number is (202) 514-2217 or 2218. Thank you for your consideration of this request.

17-1077  
LORENZO, FRANCIS V.  
SECURITIES AND EXCHANGE COMMISSION

KWAKU A. AKOWUAH  
SIDLEY AUSTIN LLP  
1501 K STREET, NW  
WASHINGTON, DC 20005  
202-736-8000  
KAKOWUAH@SIDLEY.COM

ROBERT G. HEIM  
MEYERS & HEIM LLP  
1350 BROADWAY  
SUITE 514  
NEW YORK, NY 10018  
212-355-7188  
RHEIM@MEYERSANDHEIM.COM

LAURA POSNER  
COHEN MILSTEIN SELLERS & TOLL PLLC  
88 PINE STREET  
14TH FLOOR  
NEW YORK, NY 10005  
212-220-2925  
LPOSNER@COHENMILSTEIN.COM

LYLE ROBERTS  
SHEARMAN & STERLING LLP  
401 9TH STREET, NW  
WASHINGTON, DC 20004-2128  
202-508-8108  
LYLE.ROBERTS@SHEARMAN.COM